1 The use of faecal microbiota transplant as treatment for recurrent or

refractory *Clostridioides difficile* infection and other potential
 indications: second edition of joint British Society of
 Gastroenterology (BSG) and Healthcare Infection Society (HIS)

- 5 guidelines.
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## 45 **Authors' contribution:**

- All authors contributed to writing. All authors except AB also provided advice; AB alsoconducted searches and evidence syntheses.
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- 49 "NICE has accredited the process used by the British Society of Gastroenterology and the
- 50 Healthcare Infection Society to produce: "The use of faecal microbiota transplant as treatment
- 51 for recurrent or refractory Clostridioides difficile infection and other potential indications: joint
- 52 British Society of Gastroenterology (BSG) and Healthcare Infection Society (HIS) guidelines."
- 53 The NICE accreditation of HIS methodology is valid for five years from March 2020. More
- 54 information on accreditation can be viewed at <u>http://www.nice.org.uk/about/what-we-</u>
- 55 <u>do/accreditation</u>"
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- 57
- 58 **Keywords:** microbiota; faecal microbiota transplantation; Clostridioides difficile; colonic microbiome;
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# 60 1. Abstract

61 The first British Society of Gastroenterology (BSG) and Healthcare Infection Society (HIS)-endorsed faecal microbiota transplant (FMT) guidelines were published in 2018. Over the past five years, there 62 has been considerable growth in the evidence base (including publication of outcomes from large 63 national FMT registries), necessitating an updated critical review of the literature and a second edition 64 65 of the BSG/HIS FMT guidelines. These have been produced in accordance with NICE-accredited methodology, thus have particular relevance for UK-based clinicians, but are intended to be of 66 67 pertinence internationally. This second edition of the guidelines have been divided into 68 recommendations, good practice points, and recommendations against certain practices. With respect to FMT for *Clostridioides difficile* infection (CDI), key focus areas centred around timing of 69 70 administration, increasing clinical experience of encapsulated FMT preparations, and optimising 71 donor screening. The latter topic is of particular relevance given the COVID-19 pandemic, and cases of 72 patient morbidity and mortality resulting from FMT-related pathogen transmission. The guidelines 73 also considered emergent literature on the use of FMT in non-CDI settings (including both 74 gastrointestinal and non-gastrointestinal indications), reviewing relevant randomised controlled trials. 75 Recommendations are provided regarding special areas (including compassionate FMT use), and 76 considerations regarding the evolving landscape of FMT and microbiome therapeutics.

77

## 78 Executive summary of recommendations

## Effectiveness and safety of FMT in treating *C. difficile* infection

**1.1:** Avoid FMT as an initial treatment for *C. difficile* infection (i.e. first episode).

**1.2:** Consider FMT for a first recurrence or for patients with refractory *C. difficile* infection.

**1.3:** Offer FMT to all patients with two or more recurrences of *C. difficile* infection.

**1.4:** Ensure that FMT is preceded by the treatment of *C. difficile* infection with appropriate antimicrobials for at least 10 days.

**1.5:** Offer FMT to all types of patients, regardless of their health status, except in those with anaphylactic food allergy.

**1.6:** Offer one or more FMT after initial clinically assessed FMT failure.

#### Good practice points

**GPP 1.1:** Consider early FMT for patients with severe, fulminant or complicated *C. difficile* infection who are not responding to antimicrobial therapy.

**GPP 1.2:** If FMT was given via endoscopy, ensure that immediate management after administration is in line with any local protocols.

**GPP 1.3:** Inform patients about the short-term adverse events, in particular the possibility of self-limiting gastrointestinal symptoms and that serious adverse events are rare.

**GPP 1.4:** Inform inflammatory bowel disease patients with *C. difficile* infection about a small risk of exacerbation of their condition after FMT.

**GPP 1.5:** Follow-up the FMT recipients for at least eight weeks to establish its efficacy and adverse events.

**GPP 1.6:** Do not test for cure by absence of *C. difficile* toxin after FMT, unless the patient has persistent *C. difficile* infection symptoms or is suspected to have relapsed.

**GPP 1.7:** Consider investigation for alternative causes for symptoms in patients who fail to respond to anti- *C. difficile* infection treatment including FMT.

Recipient factors influencing the outcome of FMT for patients with *C. difficile* infection2.1: Do not refuse or delay FMT therapy due to any recipient risk factors e.g. age over 75 years old.

**Donor factors influencing the outcome of FMT for patients with** *C. difficile* infection **3.1:** Use FMT from universal donors in preference to related donors.

**3.2:** All potential donors must be screened by questionnaire or personal interview to establish risk factors for transmissible diseases and for factors influencing the gut microbiota (Box 1).

**3.3:** Blood and stool of all donors must be tested for transmissible diseases to ensure FMT safety (Box 2 and 3).

**3.4:** Discuss and agree the content of donor health questionnaire and laboratory testing at a local level, following a robust risk assessment.

**3.5:** Undertake ongoing review, revision and updating of the list of pathogens for screening/testing based on local epidemiology and the latest evidence.

**3.6:** Blood and stool of all donors must be re-screened periodically to ensure FMT safety.

**3.7:** Health assessment which captures the donor's ongoing suitability must be completed at each stool donation.

**3.8:** Ensure that FMT manufactured from donors is quarantined pending post-baseline screening and test results.

## Good practice points

**GPP 3.1:** Follow suggested recommendations in Boxes 1-4 for conditions to be included in screening and health questionnaire.

Preparation-related factors influencing the outcome of FMT for patients with *C. difficile* infection

**4.1:** Frozen FMT must be offered in preference to freshly processed products.

**4.2:** Start processing stools within 150 minutes of defecation.

**4.3:** Process stools aerobically or anaerobically – both methods are acceptable.

**4.4:** Store prepared FMT products frozen at -70°C for up to 12 months.

**4.5:** Add cryoprotectant such as glycerol for frozen FMT products.

**4.6:** If capsules are used, these can be obtained from frozen or lyophilised faecal slurry.

## Good practice points

**GPP 4.1:** Follow a standard protocol for stool collection.

**GPP 4.2:** When possible, use at least 50g of stool in each FMT preparation.

GPP 4.3: Use sterile 0.9% saline as a diluent for FMT production.

**GPP 4.4:** Mix a minimum of 1:5 stool with diluent to make the initial faecal emulsion.

**GPP 4.5:** Consider homogenisation and filtration of FMT in a closed disposable system.

**GPP 4.6:** Consider thawing frozen FMT at ambient temperature and using it within six hours of thawing.

**GPP 4.7:** Avoid thawing FMT in warm water baths, due to the risks of cross contamination with *Pseudomonas* (and other contaminants) and reduced bacterial viability.

**GPP 4.8:** Where glycerol is used as a cryopreservative, ensure it is at 10-15% final concentration of the prepared faecal material/slurry, with vortexing or other methods used to fully mix the cryopreservative into the material.

Route of delivery and other administration factors influencing the outcome of FMT for patients with *C. difficile* infection

**5.1:** Choose any route of FMT delivery but, if possible, avoid enema.

**5.2:** When choosing the route of delivery, consider patient preference and acceptability, cost, and the impact on environment.

**5.3:** Consider enema for patients in whom other FMT delivery methods are not feasible.

**5.4:** There is no need to administer proton pump inhibitors or other antisecretory agents as a preparation for FMT.

**5.5:** Do not use antimotility agents as a preparation for FMT.

**5.6:** Use bowel preparation/lavage as a preparation for FMT.

**5.7:** After upper gastrointestinal tract administration is used, remove the tube following the flushing with water.

**5.8:** For patients at risk of regurgitation or those with swallowing disorders, avoid administration via upper gastrointestinal tract and deliver FMT via lower gastrointestinal tract instead.

**5.9:** If colonoscopic administration is used, ensure that the FMT is delivered to a site that will permit its retention.

## Good practice points

**GPP 5.1:** Use polyethylene glycol preparation as a preferred solution for bowel lavage.

**GPP 5.2:** Consider using prokinetics (such as metoclopramide) prior to FMT via the upper gastrointestinal tract route

**GPP 5.3:** Follow best practice for prevention of further transmission of *C. difficile* when administering FMT to patients.

**GPP 5.4:** Consider a washout period of at least 24 hours between the last dose of antibiotic and treatment with FMT.

**GPP 5.5:** If upper gastrointestinal tract administration is used, nasogastric, nasoduodenal or nasojejunal tube, upper GI endoscopy or a permanent feeding tube may be used for delivery.

**GPP 5.6:** If upper gastrointestinal administration is used, administer no more than 100 mL of FMT to the gastrointestinal tract.

**Post-FMT factors influencing the outcome of FMT for patients with** *C. difficile* infection **6.1:** Wherever possible, avoid using non- *C. difficile* infection antimicrobials for at least eight weeks after FMT.

**6.2:** Consult infectious disease specialists or medical microbiologists for advice whenever FMT recipients have an indication for long term antibiotics or have an indication for non- *C. difficile* infection antibiotics within eight weeks of FMT.

## Prophylactic FMT treatment to prevent C. difficile infection

7.1: No recommendation

## FMT for non- C. difficile infection indications

**8.1:** Do not offer FMT routinely to patients with indications other than *C. difficile* infection.

**8.2:** Consider FMT on case by case basis for patients with ulcerative colitis in whom licenced treatment options have failed or for those who are not suitable for currently available treatments.

## **Compassionate use of FMT**

**9.1:** Consider offering compassionate use of FMT in non- *C. difficile* infection settings after discussion and approval in a multidisciplinary team setting.

**9.2:** When offering compassionate use of FMT, the following conditions must be met:

- There is a biological rationale to justify consideration.
- Patient is at risk of significant clinical compromise due to a limited alternative range of therapeutic options.
- Patient understands the risks and benefits of FMT compared to other treatment options.

**9.3:** Prior to treatment, define what will be considered as a success or failure of FMT.

**9.4:** Prior to treatment, agree potential strategy for further FMTs based upon initial clinical success.

## Self-banking of stool for potential future autologous FMT

**10.1:** Do not routinely self-bank stool from faecal material donated by patients or healthy people for potential future autologous FMT.

## Regulation and oversight of FMT

**11.1:** Centres that manufacture and dispense FMT must adhere to any regulations applicable to the area in which they are located.

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# 80 2. Patient summary

Faecal microbiota transplant (FMT), sometimes also known as stool or poo transplantation, can be an effective treatment for patients with *Clostridioides difficile* (commonly known as *C. diff*) infection. It is usually given when the infection comes back after antibiotic treatment (relapse), or occasionally if antibiotics do not work (refractory). It is not fully understood how FMT helps patients with *C. diff* infection, but it is thought it is partly to do with restoring beneficial gut microorganisms (e.g. bacteria) and the chemicals (e.g. metabolites) they produce.

87 The first BSG/HIS guidelines on the use of FMT for C. diff were published in 2018, and since this time 88 new evidence has become available. This has prompted this second edition of the guidelines. Key 89 recommendations focus on which patients should be offered FMT, when it should be offered, and the 90 best ways to administer it. The guidelines also describe important considerations for screening of stool 91 donors to ensure the safety and success of FMT. Two further topics are focused on in this second 92 edition. One is the evidence for the use of FMT for conditions other than C. diff infection, including irritable bowel syndrome, ulcerative colitis and Crohn's disease, as well as conditions outside of the 93 94 gut, such as obesity and metabolic syndrome. The second topic considers patients with conditions in 95 which there are no other treatment options available to them, and if they can be offered FMT: this is 96 called compassionate use.

97 Glossary of terms used is provided in Supplementary Materials file B.

# 98 3. Introduction

99 Faecal microbiota transplant (FMT; sometimes referred to by other names, including 'intestinal
 100 microbiota transplant/transfer'<sup>1</sup>) describes the transfer of minimally manipulated faeces from a

healthy screened donor to a patient for the treatment of disease. FMT is now entering its second
 decade of use in modern mainstream medicine, with the first randomised trial reporting its utility in
 recurrent *Clostridioides difficile* infection (CDI) in 2013.<sup>2</sup> The first BSG/HIS-endorsed FMT guidelines
 were published in 2018,<sup>2</sup> and the interest continues to grow in the use of FMT, both for CDI and for its
 potential in the management of non-CDI conditions.<sup>3</sup>

106 Since the first BSG/HIS FMT guidelines in 2018, there has been publication of European and North 107 American CDI-related guidelines<sup>4</sup> that have also addressed FMT, consensus reports relating to aspects 108 of FMT service design and delivery,<sup>5</sup> and other BSG guidelines that have made consideration of a role for FMT in a non-CDI setting, e.g. for inflammatory bowel disease.<sup>6</sup> More recently, National Institute 109 for Health and Care Excellence (NICE) medical technologies guidance summarised the clinical and cost 110 111 effectiveness of FMT, from a UK National Health Service (NHS) perspective.<sup>7</sup> Despite these 112 publications, the BSG and HIS advocated for a second edition of the UK FMT guidelines for a number 113 of reasons. Firstly, the high levels of clinical interest within this field mean that this has been a fast-114 moving area with a rapidly-growing literature base. Particular areas of evolution since the last 115 guideline iteration have included randomised trials in both CDI and non-CDI settings, the reporting of 116 data from regional and national FMT registries (with longer periods of follow-up and larger numbers 117 of patients than were previously described), and concerns related to donor screening (relating both 118 to the COVID-19 pandemic, and high profile reports of FMT-related pathogen transmission with 119 adverse patient outcomes). Secondly, while the NICE medical technologies guidance presented a 120 general evaluation of the clinical use of FMT, its remit did not include guidance as to many of the more 121 specific areas related to FMT provision and administration that are of greatest relevance to practising 122 clinicians in this field, including donor selection and screening and material preparation or consider 123 non-CDI indications. As such, there was a compelling case to apply NICE-accredited methodology to 124 the current evidence base and provide clinicians with the highest quality recommendations and 125 guidance on which to base their practice of FMT use in adults.

The focus of these guidelines was on the use of 'conventional' FMT, to inform use in healthcare 126 127 settings (primarily the NHS), and in academia. As such, as per the prior guidelines, studies were 128 considered only if they explored the administration of whole stool, and not modified products, such as cultured microorganisms (or their proteins, metabolites or other components), or microbiota 129 130 suspensions. The guideline development team (referred to as Working Party) are aware of 131 developments in the United States in this space, particularly the recent FDA approval of 'next 132 generation' FMT products, including RBX2660/Rebyota (Ferring; a rectally-administered FMT-type 133 product),<sup>8</sup> and SER-109/ Vowst (Seres/Nestle; a purified spore-based product)<sup>9</sup> for preventing CDI 134 relapses. Clinical trials that contributed to the licensing of these products investigated the 135 performance of these agents compared to standard-of-care anti-CDI antibiotics. None explored 136 efficacy compared to 'conventional' FMT. At the time of writing, no such products were licensed for 137 use within the UK or European Union, and none have been licensed in any region as part of 138 management of a non-CDI indication.

## 139 **3.1 Aims and Scope**

The main purpose of this second edition of the guidelines was to set recommendations and best
 practice for the optimal provision of an effective and safe FMT for recurrent or refractory CDI in adult
 (≥18 years) patients. The secondary purpose was to provide guidance for using FMT in conditions other

- 143 than CDI in the adult population. These recommendations focused on the provision of FMT in the UK,
- 144 although many aspects are also relevant internationally. The focus was on 'minimally manipulated'
- stool, and not the 'next generation' FMT products (i.e. defined microbial communities 'microbiome
- 146 therapeutics'). The diagnosis and management of CDI in general were considered outside the scope
- 147 of these guidelines.
- 148

# 149 3.2 Methodology

Topics for these guidelines were derived from the initial discussions of the Working Party during the stakeholder meeting. The included questions (Appendix 1) were adapted from those in the previous version of the guidelines published in 2018.<sup>1</sup> Methods were followed in accordance with the NICE manual for conducting evidence syntheses (Supplementary file C).

# 154 Data sources and search strategy

155 Three electronic databases (MEDLINE, Embase, Cochrane Central Register of Controlled Trials) were

156 searched with the last search date in July 2023. Search terms were constructed using relevant index

157 and free text terms (Appendix 1). Reference lists of identified relevant articles were scanned for

additional studies and forward reference searching (identifying articles which cite relevant articles)

159 was performed. The searches were restricted to primary articles published in the English language.

# 160 Study eligibility and selection criteria

161 Search results were downloaded to Covidence software and screened for relevance. Two reviewers

162 discussed their disagreements first and the third reviewer was available to arbitrate but was not

163 needed. The results of study selection and the list of excluded studies for all questions are available in

164 Appendix 2.

# 165 Data extraction and quality assessment

166 Included epidemiological studies were appraised for quality using checklists (links available in167 Appendix 3a). The results of quality appraisal are available in Appendix 3b.

168 Data were extracted by one reviewer and checked by other reviewers. For each question, the data

169 from the included studies were extracted to create the tables of study description and summary of

170 findings tables (Appendix 4).

# 171 Rating of evidence and recommendations

The strength of the evidence was defined by GRADE (Grading of Recommendations Assessment, Development and Evaluation) tables (Appendix 5) and using the ratings 'high', 'moderate', 'low' and 'very low' to construct the evidence statements, which reflected the Working Party's confidence in the evidence. The strength of recommendation was adopted from GRADE and reflects the strength of

176 each evidence statement.

## 177 **Consultation process**

178 Feedback on draft guidelines was received from the participating organisations and through 179 consultation with relevant stakeholders. The Working Party reviewed stakeholder comments, and 180 collectively agreed revisions (Supplementary Materials file D).

181

## 182 **3.3 Guideline development Team and Conflicts of Interest**

183 Members of the Working Party represent professional societies i.e. British Society of Gastroenterology 184 (BSG) and Healthcare Infection Society (HIS) as well as clinical microbiologists, gastroenterologists, infection prevention and control (IPC) doctors, clinical and academic researchers, FMT production 185 186 manager, methodologists, and two lay members. Individual members were mostly UK-based but some 187 international experts were also chosen to ensure that the guidelines are also relevant to an 188 international audience. BSG and HIS commissioned the authors to undertake this Working Party 189 report. The authors received no specific funding for this work. Financial support for the time required 190 to obtain the evidence and write the manuscript was provided by the authors' respective employing 191 institutions. B.H.M. was the recipient of an NIHR Academic Clinical Lectureship (CL-2019-21-002). The 192 Division of Digestive Diseases at Imperial College London receives financial and infrastructure support 193 from the NIHR Imperial Biomedical Research Centre based at Imperial College Healthcare NHS Trust 194 and Imperial College London. The authors would like to thank Dr Rohma Ghani for her assistance on 195 the topic of donor screening and Dr Bin Gao for reviewing the studies related to FMT given to patients 196 with functional constipation. The views expressed in this publication are those of the authors and have 197 been endorsed by BSG and HIS and approved following a consultation with external stakeholders. 198 Authors declared no substantial conflicts of interest which would prevent them from being the 199 members of the guidelines panel. All conflicts of interest are disclosed in Supplementary Materials file 200 C.

## 201 3.4 Scheduled Review

The guidelines will be reviewed at least every four years and updated if change(s) are necessary or if evidence emerges that requires a change in practice.

## 204 3.5 Implementation

205 The Working Party agreed that there is no anticipated additional cost associated with implementation 206 of these guidelines unless existing practice falls well below currently accepted standards. Assessing 207 the cost-effectiveness of different treatments is not within the scope of this guidance. The practices 208 recommended by these guidelines are currently used in most centres offering FMT in the UK. There is 209 a potential cost saving and other benefits (e.g. reducing the carbon footprint) when certain 210 recommendations are followed (e.g. donor screening or using aerobic processes for FMT preparation). 211 Lay materials and continuing professional development questions (CPD) are available in the 212 Supplementary Materials (files E and F).

# 213 4. Rationale for recommendations

# 214 4.1 Effectiveness and safety of FMT in treating CDI

215 There is clear evidence of the growing use of FMT globally. With the availability of randomised trial 216 outcome data, FMT has become an accepted treatment for recurrent and refractory CDI. A recent pan-217 European survey suggested a disparity in access to FMT between countries (or even between regions 218 within countries), suggesting ongoing significant underutilisation in patients who may stand to benefit 219 from FMT.<sup>10</sup> Previous BSG/HIS guidelines<sup>3</sup> recommended that FMT should be offered to patients with 220 recurrent, refractory CDI, or those with risk factors for recurrence, but not as first line treatment. At 221 the time of their publication, there were fewer randomised trials and comparison treatment was 222 limited to vancomycin. Due to a small number of studies conducted before the first the first edition of 223 the guidelines was published, meta-analyses were not possible and the evidence for effectiveness was 224 not well-established. Additionally, effectiveness and, more importantly, safety of FMT for some 225 patient populations including those who were immunocompromised or immunosuppressed, frail and

226 older patients, and patients with certain comorbidities, was unknown.

## 227 General population with CDI

- 228 *Effectiveness of FMT vs standard care or placebo*: There was strong evidence which suggested that 229 FMT is more effective than standard care or placebo for treating CDI in general population.<sup>2,11-15</sup>
- Adverse events following FMT vs standard care or placebo: There was strong evidence which
   suggested no negative effect of FMT.<sup>2,11-15</sup>

## 232 Patients with severe, complicated or fulminant CDI

- *Effectiveness of FMT in patients with severe CDI*: There was weak evidence which suggested that FMT
   is beneficial in this patient group.<sup>16</sup>
- *Effectiveness of FMT in patients with severe CDI compared to patients with mild/moderate CDI*: There
   was moderate evidence which suggested there was no difference between these two patient
   groups.<sup>17-23</sup>
- 238 *Effectiveness of FMT in patients with refractory or fulminant CDI vs recurrent CDI:* There was 239 inconsistent evidence which suggested no difference in effect for these patient groups.<sup>24-28</sup>
- *Effectiveness of FMT in patients with pseudomembranous colitis compared to other patients:* There
   was weak evidence, and it is not clear whether in these patients FMT may be less successful.<sup>18,21</sup>
- Adverse events in patients with severe, refractory or fulminant CDI: There was weak evidence which
   suggested there was no increased risk associated with FMT for these types of patients.<sup>16,17,24</sup>
- 244 Adverse events in patients with pseudomembranous colitis: There were no studies.

#### 245 First episode of CDI

- 246 *Effectiveness of FMT:* There was weak evidence which suggested that FMT is effective in these 247 patients.<sup>29</sup>
- 248 *Adverse events*: There were no studies.

## 249 Patients with co-existing Inflammatory Bowel Disease (IBD) and CDI

- *Effectiveness of FMT:* There was weak evidence that suggested FMT was effective in treating CDI in patients with IBD.<sup>30-34</sup>
- 252 Effectiveness of FMT in IBD patients with CDI compared to patients without IBD: There was moderate
- evidence which suggested that FMT for CDI is equally successful in patients who have IBD and those
   who do not.<sup>18,21,22,25,27,35-40</sup>
- *Effect on adverse events:* There was weak evidence, but it suggested that FMT is safe in patients with
   IBD treated for CDI.<sup>27,30,32,33,35</sup>
- 257 Immunocompromised or immunosuppressed patients with CDI
- 258 *Effectiveness of FMT:* There was weak evidence which suggested that FMT is effective in treating CDI 259 in patients who are immunocompromised or immunosuppressed.<sup>41,42</sup>
- 260 *Effectiveness in immunocompromised/immunosuppressed patients compared to immunocompetent*
- 261 *patients:* There was moderate evidence which suggested that there was no difference in effectiveness
- between these two patient groups.<sup>18,20-22,25,27,36-40,43</sup>
- 263 Adverse events: There was weak evidence which suggested that FMT is safe in this patient group.<sup>41,42</sup>

## 264 Cancer patients with CDI

- *Effectiveness of FMT:* There was weak evidence which suggested that FMT is effective in this patient
   group.<sup>44,45</sup>
- 267 *Effectiveness in cancer patients compared to patients with no cancer:* There was weak evidence, but
- it suggested that there was no difference in the effectiveness between these two patient groups.<sup>18,20,39</sup>
- 269 Adverse events: There was weak evidence which suggested that FMT was safe in this patient group.44,45

## 270 Post solid organ-transplant patients with CDI

- 271 *Effectiveness of FMT:* There was weak evidence which FMT is effective in this patient group.<sup>46</sup>
- 272 *Effectiveness in solid organ transplant patients compared to patients with no solid organ transplant:*273 There were no studies.
- 274 Adverse events: There was weak evidence which suggested that FMT is safe in this patient group.<sup>46</sup>

## 275 Patients with liver disease and CDI

- 276 *Effectiveness of FMT:* There was weak evidence which suggested FMT is effective in this patient 277 group.<sup>47</sup>
- 278 *Effectiveness in patients with liver disease compared to patients without liver disease:* There was weak
- evidence which suggested no difference in the effectiveness of FMT between these two groups of
   patients.<sup>37,39,48</sup>
- 281 Adverse events: There was weak evidence which suggested that FMT was safe in this patient group.<sup>47</sup>

## 282 Patients with kidney disease and CDI

- 283 *Effectiveness of FMT:* There were no studies.
- 284 *Effectiveness in patients with kidney disease compared to patients without kidney disease:* There was
- 285 weak evidence which suggested that there is no difference in the effectiveness of FMT between these
- 286 patient groups.<sup>18,22,37,39</sup>

287 *Adverse events:* There were no studies.

## 288 Patients with diabetes mellitus and CDI

- 289 *Effectiveness of FMT:* There were no studies.
- 290 *Effectiveness in patients with DM compared to patients without DM:* There was weak evidence which
- 291 suggested that there is no difference in the effectiveness of FMT between these patient groups.<sup>18,38,39</sup>
- 292 *Adverse events:* There were no studies.

#### 293 Patients with cardiovascular disease and CDI

- 294 *Effectiveness of FMT:* There were no studies.
- 295 *Effectiveness in patients with CVD compared to patients without CVD:* There was weak evidence, which
- 296 suggested that there is no difference in the effectiveness of FMT between these patient groups.<sup>38</sup>
- 297 Adverse events: There were no studies.

#### 298 Patients with urinary tract infections and CDI

- 299 *Effectiveness of FMT:* There were no studies.
- 300 *Effectiveness in patients with UTI compared to patients without UTI:* There was weak evidence, which
- 301 suggested that there is no difference in the effectiveness of FMT between these patient groups.<sup>22</sup>
- 302 *Adverse events:* There were no studies.

#### 303 Patients with COVID-19 infection and CDI

- 304 *Effectiveness of FMT:* There was weak evidence which suggested that FMT is effective in this patient 305 group.<sup>49</sup>
- 306 *Effectiveness in patients with COVID-19 compared to patients without COVID-19:* There were no 307 studies.
- 308 Adverse events: There was weak evidence which suggested FMT is safe in this patient group.<sup>49</sup>

## 309 Patients with CDI and other conditions

- 310 *Effectiveness of FMT:* There were no studies.
- 311 *Effectiveness in patients with other conditions compared to patients without these conditions:* There
- 312 was weak evidence, which suggested that there is no difference in the effectiveness of FMT between
- 313 these patient groups.<sup>18,21,37,38</sup>
- 314 Adverse events: There were no studies.

#### 315 **Patients with CDI and multiple comorbidities**

- 316 *Effectiveness of FMT:* There were no studies.
- 317 *Effectiveness in patients with multiple comorbidities compared to patients without comorbidities:*
- 318 There was weak evidence which suggested that FMT may be less successful in patients with multiple
- 319 comorbidities.<sup>19,26,36,43,50,51</sup>
- 320 *Adverse events:* There were no studies.

## 321 Additional data from excluded studies

- 322 Quality of life
- 323 One study,<sup>52</sup> reported improved quality of life after the patients underwent FMT for CDI.
- 324 *Mortality*
- 325 Two studies<sup>53,54</sup> reported no difference in mortality rates, one<sup>55</sup> reported that the incidence of CDI-
- 326 related mortality decreased when FMT programme was introduced, one<sup>22</sup> reported that early FMT
- 327 reduced mortality in severe cases and one study<sup>56</sup> reported that patients who received FMT had a 77%
- 328 decrease in odds for mortality.
- 329 Long-term effectiveness
- 330 Six studies<sup>22,57-61</sup> which reported that at long-term follow-up (up to one year), FMT was still effective.

#### **331** Asymptomatic carriage after FMT

332 One study<sup>62</sup> reported that asymptomatic carriage of *C. difficile* after FMT is rare.

## 333 New or worsening symptoms following FMT

One study<sup>22</sup> reported that one year after follow-up nausea was present in 18% of the patients, 334 abdominal pain in 21% and diarrhoea in 33%, but that no serious events related to FMT occurred. One 335 study<sup>58</sup> reported that within a year after FMT, the prevalence of constipation increased, but that most 336 337 of the cases did not need treatment. Other symptoms included urgency, cramping and an increased 338 incidence of IBS. Two years after FMT, new conditions included weight gain, diabetes mellitus, 339 dyslipidaemia, thyroid problems, GI problems, and serious infections. These conditions were not considered directly linked to FMT. Other studies reported the onset of the following new 340 issues,<sup>35,53,59,61</sup> but none of these conditions were assessed for causality. One study reported 341 worsening pre-existing chronic IBD and rheumatoid arthritis<sup>59</sup> One study<sup>63</sup> that there was a slightly 342 343 higher incidence of myocardial infarction in FMT group compared to non-FMT at one yar follow-up, 344 but that the incidence of other conditions was similar. At ten-year follow-up, one study<sup>64</sup> reported 345 that there were no new diagnoses of autoimmune diseases, GI disorders or malignancies and that 346 there were no deaths which were attributed to FMT.

**347** *Resolution or improvement of conditions following FMT* 

Three studies reported resolution or improvement of existing conditions following FMT,<sup>53,59,61</sup> including eradication of multi-drug resistant micro-organisms,<sup>53</sup> improvement of undifferentiated colitis, Crohn's disease, ulcerative colitis, diabetes mellitus and Parkinson's disease<sup>61</sup> and improvement of IBS, IBD, and alopecia areata.<sup>59</sup> None of these studies investigated whether these improvements were directly associated with FMT.

The Working Party discussed the above evidence and concluded that FMT administered after CDI 353 treatment with appropriate antimicrobials appears to be more effective than placebo, or additional 354 355 doses of vancomycin or fidaxomicin in prevention of CDI recurrence. However, the sensitivity analyses 356 performed due to high heterogeneity suggest that its effectiveness depends on many factors, including 357 the route of FMT administration, the number of FMTs given, type of the patient and the length of follow-up. It is also important to highlight that the high heterogeneity was also a result of different 358 359 types of comparisons, which are typically used in clinical practice and constitute standard care, e.g. in some studies, participants were given initial antibiotics to treat CDI and received placebo as a part of 360 standard care while in other studies participants received the initial antibiotics for treatment as well 361 362 as additional doses of vancomycin or fidaxomicin as a comparison to FMT. In either case, FMT was

more effective than any of these standard regimens. The results of one RCT<sup>5</sup> support previous
 observational reports that retention enema is not an efficient route of administration.

Additionally, FMT seems to be beneficial for patients with different types of comorbidity regardless of 365 the severity or phenotype of CDI and the number of CDI episodes preceding FMT. The Working Party 366 367 acknowledged that some types of comorbidities and multiple comorbidities may make the FMT less 368 effective, and that for these patients, more than one FMT may be required. Clinically, this would be 369 similar for all patients because subsequent FMT, preferably from a different donor, should be offered 370 if the first FMT fails. One dose of FMT may be less effective in patients with pseudomembranous colitis 371 and to achieve a desired effect, these patients could benefit from additional doses. However, clinically, 372 this issue may not be relevant because in practice CDI patients are not routinely assessed for the 373 presence of pseudomembranous colitis. Therefore, the clinical pathway for these patients would 374 remain similar to patients with other CDI types. Nevertheless, FMT in these patients still appears to be 375 better than placebo or antibiotics alone. Thus, FMT should be given for different types of patients, 376 regardless of their comorbidities or the type of CDI. As per the previous iteration of the guidelines, the 377 Working Party discussed that the only absolute contraindication for FMT is the presence of 378 anaphylactic food allergy.

In previous guidelines, there was a concern that FMT may cause harm in some types of patients, 379 380 including those who are immunocompromised or immunosuppressed, those with liver or kidney 381 disease or those with IBD. However, the evidence now suggests that the incidence of adverse events, 382 regardless of their severity, appears to be similar in different types of patients. Thus, the Working Party 383 agreed that FMT should still be considered as a treatment option for patients with comorbidities based 384 on its safety. Moreover, in the general population, the incidence of adverse events in patients who 385 receive FMT does not appear to be different when compared to patients who receive placebo or anti-386 CDI antibiotics. The Working Party would also like to stress that, due to the similar incidence of 387 occurrence in different treatment groups, GI events such as diarrhoea, nausea or bloating are probably 388 more likely to be associated with CDI itself and possibly some co-interventions (e.g. bowel preparation) 389 rather than with FMT treatment. Based on clinical experience of the Working Party members, adverse events, none of which were captured by the included studies, may occasionally occur but their incidence 390 is very rare. A recent systematic review,<sup>65</sup> which investigated the occurrence of adverse events after 391 392 FMT, reported that the overall rate of severe adverse events was 0.65% [95% CI 0.45-0.89]. The 393 population in this study patients with IBD included (4.8%) as well as 394 immunosuppressed/immunocompromised patients (8%). For specific adverse events, the incidence 395 was 0.19% [95% CI 0.09-0.31] for sepsis or sepsis-like conditions, 0.27% [95% CI 0.15-0.43] for 396 aspiration pneumonia and 0.20% [95% CI 0.09-0.34] for bowel perforation. Mild adverse events were 397 also relatively rare, with constipation reported in 1.03% [95% CI 0.77-1.33] of the patients, abdominal 398 pain in 1.66% [95% Cl 1.33-2.03], nausea in 0.92% [95% Cl 0.67-1.20], vomiting in 0.34% [95% Cl 0.20-399 0.52], flatulence in 0.70% [95% CI 0.49-0.94], and febrile episodes in 0.33% [95% CI 0.19-0.50] of 400 patients following FMT. In general, the majority of adverse events seem to occur either due to unsafe 401 FMT products or unsafe practice of administration, both of which are avoidable when careful donor 402 screening is in place and appropriate care is given to FMT recipients. Other events may be 403 unpreventable, e.g. diarrhoea due to glycerol being used as cryoprotectant, but these are relatively 404 minor and self-limiting.

The data from the excluded studies point out that the desired effects of FMT are generally long-lasting with many patients experiencing no recurrence of CDI and no evidence of adverse events occurring months to years after FMT. There are some patients who experience recurrence or relapse and the Working Party discussed how these patients should be managed. It was concluded that current evidence<sup>22</sup> and clinical practice support the treatment of these patients with either further FMT or anti-CDI antibiotic therapy.

The Working Party discussed whether, due to an apparent benefit, FMT should be offered as a treatment for patients with the first episode of FMT. The effectiveness for patients experiencing the first or second CDI has recently been established in one RCT.<sup>12</sup> However, due to the fact that FMT is more invasive and more expensive, and that a relatively high success rate can be achieved with anti-CDI antibiotics alone, this is not currently recommended. Instead, this issue can be investigated in the future studies.

417

## Recommendations

**1.1:** Avoid FMT as an initial treatment for *C. difficile* infection (i.e. first episode).

**1.2:** Consider FMT for a first recurrence or for patients with refractory *C. difficile* infection.

**1.3:** Offer FMT to all patients with two or more recurrences of *C. difficile* infection.

**1.4:** Ensure that FMT is preceded by the treatment of *C. difficile* infection with appropriate antimicrobials for at least 10 days.

**1.5:** Offer FMT to all types of patients, regardless of their health status, except in those with anaphylactic food allergy.

**1.6:** Offer one or more FMT after initial clinically assessed FMT failure.

#### **Good practice points**

**GPP 1.1:** Consider early FMT for patients with severe, fulminant or complicated *C. difficile* infection who are not responding to antimicrobial therapy.

**GPP 1.2:** If FMT was given via endoscopy, ensure that immediate management after administration is in line with any local protocols.

**GPP 1.3:** Inform patients about the short-term adverse events, in particular the possibility of self-limiting gastrointestinal symptoms and that serious adverse events are rare.

**GPP 1.4:** Inform Inflammatory Bowel Disease patients with *C. difficile* infection about a small risk of exacerbation of their condition after FMT.

**GPP 1.5:** Follow-up the FMT recipients for at least eight weeks to establish its efficacy and adverse events.

**GPP 1.6:** Do not test for cure by absence of *C. difficile toxin* after FMT, unless the patient has persistent *C. difficile* infection symptoms or is suspected to have relapsed.

**GPP 1.7:** Consider investigation for alternative causes for symptoms in patients who fail to respond to anti- *C. difficile* infection treatment including FMT.

418

# 419 **4.2 Recipient factors influencing the outcome of FMT for patients with CDI**

420 The evidence above demonstrates that FMT is generally effective in the majority of individuals 421 regardless of their health status. Despite this, there are still patients in whom FMT fails. Risk factors 422 for CDI recurrence after FMT are poorly understood, but certain patient characteristics such as 423 advanced age, female sex and some medications have been proposed as potential predictors for failure.<sup>66</sup> There may also be some additional modifiable factors which could be optimised before FMT 424 425 is given and these have not yet been explored. Despite some studies reporting some patient 426 characteristics as risk factors, the results have been mostly inconsistent. Additionally, there remain 427 concerns about the safety of FMT for some patients. Underlying vulnerabilities such as older age and 428 the effect of some medications could potentially increase individual's risk of severe adverse events 429 associated with FMT. Previous BSG/HIS guidelines<sup>3</sup> did not identify any risk factors for CDI recurrence 430 other than post-FMT antibiotics. The guidelines also found very little evidence that would 431 demonstrate the safety of FMT in more vulnerable populations. As a result, the guidelines 432 recommended caution when administering FMT to people with certain conditions such as 433 immunosuppression or liver disease and suggested that antibiotic therapy should be avoided or 434 delayed when possible.

## 435 Demographic factors

436 Age

437 *Effect on success rates:* There was moderate evidence which suggested that this does not influence
 438 the effectiveness of FMT.<sup>18-22,25-27,36-39,43,67,68</sup>

*Effect on adverse events:* There was weak evidence which suggested that adverse events are similar
 across all age groups.<sup>67</sup>

441 Sex

*Effect on success rates:* There was moderate evidence which suggested that this does not influence
 the effectiveness of FMT.<sup>18-20,22,25-27,36-39,43</sup>

- 444 *Effect on adverse events:* There were no studies.
- 445 Body mass index
- 446 *Effect on success rates:* There was weak evidence which suggested that this does not influence the 447 effectiveness of FMT.<sup>18,38</sup>
- 448 *Effect on adverse events:* There were no studies.

## 449 Factors associated with CDI

- 450 Number of CDI episodes before FMT
- 451 *Effect on success rates:* There was moderate evidence which suggested that this does not influence
- 452 the effectiveness of FMT.<sup>18-20,22,27,37,43,68</sup>

- 453 *Effect on adverse events:* There were no studies.
- 454 Hospitalisation due to CDI
- 455 *Effect on success rates:* There was weak evidence which suggested that this does not influence the
- 456 effectiveness of FMT.<sup>18,37</sup>
- 457 *Effect on adverse events:* There were no studies.
- 458 Antibiotics used for treatment of CDI before FMT
- 459 *Effect on success rates:* There was weak evidence which suggested that these do not influence the 460 effectiveness of FMT.<sup>18,21,38,39,68</sup>
- 461 *Effect on adverse events:* There were no studies.
- 462 C. difficile strain
- 463 *Effect on success rates:* There was weak evidence which suggested that this does not influence the 464 effectiveness of FMT.<sup>20,22,40</sup>
- 465 *Effect on adverse events:* There were no studies.
- 466 *Healthcare-acquired CDI*
- 467 *Effect on success rates:* There was weak evidence which suggested that this does not influence the 468 effectiveness of FMT.<sup>19</sup>
- 469 *Effect on adverse events:* There were no studies.

#### 470 Other risk factors

- 471 Use of Proton Pump Inhibitors and other anti-secretory medications
- 472 *Effect on success rates:* There was moderate evidence which suggested that these do not influence
- 473 the effectiveness of FMT.<sup>18,19,21,22,25,27,36,37,39,40</sup>
- 474 *Effect on adverse events:* There were no studies.
- 475 Use of corticosteroids preceding the administration of FMT
- 476 *Effect on success rates:* There was weak evidence which suggested that these do not influence the
- 477 effectiveness of FMT.<sup>39</sup>
- 478 *Effect on adverse events:* There were no studies.
- 479 Use of lactulose preceding the administration of FMT
- 480 *Effect on success rates:* There was weak evidence which suggested that this does not influence the
- 481 effectiveness of FMT.<sup>39</sup>
- 482 *Effect on adverse events:* There were no studies.
- 483 *Probiotic use preceding the administration of FMT*
- 484 *Effect on success rates:* There was weak evidence which suggested that these do not influence the 485 effectiveness of FMT.<sup>18,21</sup>
- 486 *Effect on adverse events:* There were no studies.
- 487 Non-CDI antibiotic use preceding the administration of FMT
- 488 Effect on success rates: There was weak evidence which suggested that this does not influence the
- 489 effectiveness of FMT.<sup>22,25,39</sup>
- 490 *Effect on adverse events:* There were no studies.

- 491 Use of narcotics preceding the administration of FMT
- 492 Effect on success rates: There was weak evidence which suggested that these do not influence the
- 493 effectiveness of FMT.<sup>38</sup>
- 494 *Effect on adverse events:* There were no studies.
- 495 Hospitalised at or before FMT
- 496 Effect on success rates: There was weak evidence which suggested that this does not influence the
- 497 effectiveness of FMT.<sup>21,25,27,38</sup>
- 498 *Effect on adverse events:* There were no studies.
- 499 Blood biomarkers
- 500 *Effect on success rates:* There was weak evidence which suggested that this does not influence the
- 501 effectiveness of FMT.<sup>19,27,50</sup> However, one study<sup>50</sup> reported a higher risk of recurrence of CDI in 502 patients with zinc deficiency as well as a beneficial effect for zinc-deficient patients who were given 503 zinc supplements
- 503 zinc supplements.
- 504 *Effect on adverse events:* There were no studies.
- 505 Other risk factors
- 506 *Effect on success rates:* There was weak evidence which suggested that these do not influence the 507 effectiveness of FMT.<sup>26,37,40,68</sup>
- 508 *Effect on adverse events:* There were no studies.
- 509 Upon reviewing the above evidence, the Working Party agreed that there are currently no identified
- 510 factors which affect the effectiveness of FMT. There may be some characteristics of CDI infection that
- 511 may result in FMT being less effective; however, as was highlighted in a previous section, FMT is still
- 512 more effective than standard antibiotics and placebo. Adverse events were assessed only for patients'
- age and the evidence suggested that age had no effect. The Working Party agreed that the paucity of
- 514 studies reporting adverse events for patients with different characteristics likely represent the lack of
- 515 effect of these characteristics on the incidence and severity of adverse events. Based on these
- 516 conclusions, the Working Party agreed that FMT should not be declined or delayed based on any
- 517 patient- or CDI-related characteristic.
- 518 Additionally, the Working Party agreed that further studies investigating the effect of non-modifiable
- risk factors (e.g. age, sex, etc.) are not necessary because the existing studies suggest that these factors
- 520 are not likely to influence the effectiveness or adverse events of FMT to the point where antibiotics
- 521 and/or other therapies should be considered as an alternative. As such, future studies should focus on
- 522 investigating modifiable risk factors which can be corrected before FMT is given so that its outcomes
- 523 are optimised. A recent review<sup>69</sup> identified possible recipient factors which facilitated donor microbiota
- 524 engraftment, including genetics, inflammation status and environmental factors (e.g. diet). Further
- 525 studies are needed to identify if these factors can influence clinical outcomes of FMT.

## Recommendations

**2.1:** Do not refuse or delay FMT therapy due to any recipient risk factors e.g. age over 75 years old.

# **Good practice points**

GPP 2.1: none

## 527 **4.3 Donor factors influencing the outcome of FMT for patients with CDI**

528 A robust donor screening programme is an essential part of FMT services to ensure safety for FMT 529 recipients. Donor recruitment is challenging; using standard criteria applied in many FMT services to 530 ensure safety and efficacy, one recent study reported that only 1.7% of prospective candidates 531 qualified as suitable donors<sup>70</sup>. Moreover, the study reported that due to a lengthy screening process as many as 39% of the candidates were lost to follow-up even before their suitability was established. 532 533 The reluctance of the public to donate their stool is also well documented and seems to stem from 534 the social perception of stool, the lack of awareness of the importance of donation, and the logistic 535 difficulties in collection and transport of the stool.<sup>71</sup> Evidently, there is a need for a pragmatic approach 536 for the recruitment and screening of potential donors.

- 537 The primary aim of donor screening is mitigating risk of pathogen transmission via FMT. A secondary 538 aim of donor screening is to exclude potential donors who may have an 'aberrant/adverse' gut 539 microbiome. While the complexity and relative novelty of exploration of the gut microbiome means 540 that there is no clear agreed definition of what a 'healthy' or 'unhealthy' gut microbiome is,<sup>72</sup> either 541 compositionally or functionally, there is the theoretical potential for transmission of gut microbiome 542 traits (and therefore potential for transmission of risk for diseases with a link to the gut microbiome) 543 via FMT. There are also some studies that include microbiome sequencing and other approaches to 544 try and find which bacteria transplanted from donor to recipient are associated with success.<sup>73,74</sup> So 545 far, it has been difficult to define a core set of bacteria or functions underlying a good donor or successful FMT. At the moment, there is little evidence which allows FMT services to define a healthy 546 547 microbiome which is most optimal for donation. Previous BSG/HIS guidelines<sup>3</sup> acknowledged that research into donor factors is lacking. Therefore, the guidelines recommended a general approach 548 549 that all healthy adults under 60 years of age with BMI under 30kg/m<sup>2</sup> could be potential candidates 550 for donor screening. The recommendations then focused on an initial screening using a health and 551 travel questionnaire, followed up by a battery of laboratory testing of blood and stools to further 552 ensure the safety of FMT material. The guidelines also recommended regular re-assessment of donors 553 to ensure continuing safety. Since the guidelines were published, more evidence has become available, especially around the experience of donor screening and the retention of possible donors. 554 555 The emergence of the COVID-19 pandemic also raised questions whether prospective donors should be tested for other, non-gastrointestinal pathogens, to ensure the safety of recipients. 556
- **557** *Related vs not related donor*
- 558 *Effect on success rates:* There was weak evidence which suggested that this does not influence the 559 effectiveness of FMT.<sup>21,23,51</sup>
- 560 *Effect on adverse events:* There were no studies.
- 561 Age of the donor
- 562 *Effect on success rates:* There was weak evidence which suggested that this does not influence the 563 effectiveness of FMT.<sup>22,26</sup>
- 564 *Effect on adverse events:* There were no studies.
- 565 Sex of the donor
- 566 *Effect on success rates:* There was weak evidence which suggested that this does not influence the 567 effectiveness of FMT.<sup>22</sup>

- 568 *Effect on adverse events:* There were no studies.
- 569 Amount of stool produced

570 *Effect on success rates:* There was weak evidence which suggested that this does not influence the

- 571 effectiveness of FMT.<sup>26</sup>
- 572 *Effect on adverse events:* There were no studies.
- 573 Microbiome composition of the donor
- 574 *Effect on success rates:* There was weak evidence which suggested that this does not influence the
- 575 effectiveness of FMT.<sup>26</sup>
- 576 *Effect on adverse events:* There were no studies.

The Working Party reviewed the above evidence and concluded that it is likely that routinely measured 577 donor factors do not influence the effectiveness of FMT for treatment of CDI. The Working Party agreed 578 579 that the use of universal donors is the most practical and cost-effective way to obtain donor stools. The 580 previous practice of using related donors, which in early days before stools banks existed were the 581 most reliable source of donor stools, is now outdated and should be avoided. There is no established evidence that stools from a related donor influences the effectiveness of the FMT, but there may be 582 583 logistical difficulties and potentially additional costs related to donor screening. There is also a concern 584 that stool microbiota may be less diverse in these donors. As a related donor may cohabit with a 585 recipient, the overlap of environmental factors with the patient (e.g. diet) may affect their gut 586 microbiome and the success of FMT.

- 587 There were no studies which investigated whether the donor factors affected the incidence or severity 588 of adverse events, but the members agreed that, apart from the composition of the microbiota, they 589 are not likely to influence the effectiveness of FMT. As mentioned above, some studies demonstrate 590 that the composition of microbiota of the donor stool may predict the success or failure of FMT,<sup>73,74</sup> 591 but none of these studies met the inclusion criteria for these guidelines. The Working Party stressed 592 that wherever donor factors have been investigated, this was done in situations in which all donors
- 593 were screened for possible transmissible diseases and where safety of FMT material was established.
- 594 Therefore, they stated that screening of all donors must remain in place to ensure the safety of FMT
- recipients. All donors should also be re-screened regularly to ensure ongoing safety.

## 596 Rationale for recommendations on overall approach to donor screening

597 The Working Party agreed a robust donor screening procedure remains mandatory. As per the original 598 version of these guidelines, the screening should continue to comprise a questionnaire, to identify risk 599 factors for an aberrant microbiome and pathogen carriage, and laboratory-based testing for pathogen 600 detection. This should be an ongoing process that is repeated at appropriate intervals.

601 The Working Party discussed the reported FMT complications since the last guidelines which might 602 influence updates in the recommended donor screening protocols. From one perspective, there have 603 been a number of reported cases of infection post-FMT apparently related to pathogen transmission 604 which may have been mitigated by additional donor screening processes, including C. perfringens,<sup>75</sup> atypical enteropathogenic *E. coli*,<sup>76</sup> and Shiga toxin-producing *E. coli*.<sup>77</sup> It is also important to highlight 605 606 the well-publicised case of FMT-related infection transmission in two immunosuppressed patients 607 who developed bloodstream infection after transmission of E. coli carrying an extended-spectrum beta-lactamase (ESBL) via FMT, leading to one death.<sup>78,79</sup> There had been considerable concern since 608 609 the emergence of SARS-CoV-2 regarding its potential for transmission via FMT (particularly related to

its potential route of entry via the luminal tract, and well-described GI symptoms related to infection),
 and rapid consensus updates to donor screening were introduced to mitigate risk.<sup>80</sup> However, despite
 this theoretical risk, there are no reported cases of FMT-related SARS-CoV-2 transmission described,

- to the knowledge of the Working Party. Since the last guideline, there has been an increased periodof time for reporting of registry data and of prospective case series. Overall, FMT for rCDI appears safe
- 615 with several years of follow-up post-treatment; there have been very few cases of infection potentially
- 616 attributable to FMT, and very low rates of new diseases which might feasibly be attributable to FMT.
- 617 <sup>22,35,53,57-61,63-65</sup> There is a need to strike an appropriate balance between screening practices that are
- robust enough to mitigate the potential risks of providing FMT, whilst allowing sufficient pragmatism.
- 619 Overly stringent screening focused on theoretical risk of every possible pathogen risks making the 620 process impossible to comply with.
- 621 Regarding the recommended donor history/questionnaire, the Working Party provided some updates 622 to this compared to the original version of this guideline (Box 1). For instance, the assessment for risk 623 factors for blood-borne viruses has been updated to be consistent with those from UK Blood and 624 Transplant. The Working Party noted that FMT services in certain settings aimed to recruit donors 625 from within blood donation services, given the degree of overlap in assessment between blood and 626 stool donation, although no such approach was currently being undertaken within the UK. Additional 627 assessments have now been recommended, e.g. enquiring about recent cold sores, anal ulcers and/or 628 persistent pruritus ani, to screen for organisms that colonise the oral, rectal or perineal mucosa, 629 including Herpes simplex virus, pinworm and monkeypox (Mpox) virus. Of note, the Working Party 630 discussed that while a health questionnaire assessment is mandatory, it is beyond the scope of the 631 committee to mandate specific content or specific exclusion criteria, and Box 1 represents 632 recommendations based upon suggested best practice rather than compulsory questions. 633 Questionnaire content and clinical interpretation of responses should be discussed and agreed at a 634 local level following a robust risk assessment.
- 635 Laboratory-based blood screening of potential donors remains mandatory (Box 2). The Working Party 636 discussed that while a number of the pathogens listed in Box 2 are not recognised to transmit via the faeco-oral route (being predominantly blood-borne pathogens), and the theoretical risk of them being 637 transmitted via FMT being therefore low, there was still justification to screen for them out of a 638 639 principle of caution. The Working Party again discussed and upheld their recommendation regarding 640 Epstein-Barr virus (EBV) and Cytomegalovirus (CMV) testing being only recommended where there is 641 the potential that the FMT prepared from that donor will be administered to immunosuppressed 642 patients at risk of severe infection. Of interest, recent evidence suggests that only a very small proportion (approximately 1%) of CMV IgG or IgM positive donors have detectable stool CMV DNA on 643 644 PCR, and no CMV IgM positive donors or those with stool CMV DNA have infectious virus on cell 645 culture.<sup>81</sup> Nevertheless, this recommendation has also been upheld on the principle of an abundance 646 of caution. While the Working Party recommended consideration of a set of general/metabolic blood 647 tests for donors, they did not set specific limits/thresholds for values. The examples were discussed 648 of a donor with, for instance, incidental marked anaemia or raised CRP as being at high risk of having 649 significant undiagnosed disease which may impact the gut microbiome, and therefore being 650 unsuitable for material donation.
- The Working Party discussed the need to update stool pathogen screening compared to the last version of the guideline (Box 3). In one respect, they acknowledged the need to recommend additional

653 screening, with faecal SARS-CoV-2 being of relevance given its potential for faecal-oral transmission, 654 as discussed above. The Working Party recognised that a global consensus document designed for 655 European practice developed at the height of the COVID-19 pandemic had recommended SARS-CoV-2 screening of each donated stool sample.<sup>80</sup> The Working Party concluded that while an argument 656 657 could be made for continuing with this approach based on risk assessment at present, the currently evolving risk landscape related to SARS-CoV-2 (related to a number of factors, including national 658 659 COVID-19 vaccination roll out) may mean that a modified protocol for SARS-CoV-2 screening may 660 become appropriate over the lifetime of this guideline. Similarly, the Working Party noted a report of 661 atypical enteropathogenic E. coli transmission related to FMT, and as such felt that more considered screening for this in donors was justified.<sup>76</sup> The Working Party also discussed that new evidence had 662 emerged since the last version of the guidelines that suggested against certain GI pathobionts being 663 transmitted via FMT. In particular, a Danish FMT service recently described 13 out of 40 donors as 664 665 being H. pylori stool antigen positive, but that 26 FMTs administered from five positive donors had not resulted in any recipients becoming *H. pylori* stool antigen positive at a median of 59 days.<sup>82</sup> While 666 these data do not support the need for H. pylori stool antigen being part of screening, the Working 667 668 Party also discussed the different risk burden that theoretical H. pylori transmission might have in the 669 UK versus in the Far East, given its association with gastric cancer. It was noted that there are recent 670 data demonstrating transmission of Blastocystis via FMT, but that this did not influence success of 671 FMT as treatment for rCDI, and it was not associated with any gastrointestinal symptomatology over months of follow-up, suggesting no need to intensify donor screening for this organism.<sup>83</sup> 672

673 The Working Party noted recent literature exploring the impact of FMT upon the gut microbiota 674 dynamics of potentially pro-carcinogenic bacteria. This topic first came to light from a study of 11 675 paediatric rCDI patients (of whom six had underlying IBD), in whom four patients were found to have 676 sustained acquisition of procarcinogenic bacteria post-FMT, after transmission from colonised donors. 677 It was also noted that two patients experienced clearance of such bacteria after FMT from a negative 678 donor.<sup>84</sup> Using full genome sequencing, one of these patients acquiring pro-carcinogenic bacteria was 679 shown to have durable donor-to-recipient transmission of E. coli with the colibactin gene (clbB), which has been associated with colonic tumours.<sup>85</sup> A further retrospective study<sup>86</sup> analysed stool 680 681 metagenomes of matched pre- vs post-FMT samples from 49 rCDI patients, together with their 682 matched donors. This showed higher prevalence and abundance of potentially pro-carcinogenic 683 polyketide synthase-positive (pks+) E. coli in the gut microbiome of rCDI patients compared to their 684 healthy donors, and that the pks status of the post-FMT gut microbiome related to the pks status of 685 the donor being used (with pks being negative in five out of eight of their donors at all time points sampled and detected in overall low levels otherwise). More specifically, persistence (eight out of nine 686 687 patients) or clearance (13/18 patients) of pks+ E. coli in pks+ patients correlated to pks in the donor 688 (p = 0.004). While these data are of interest, the Working Party concluded that the small number of 689 publications on this topic, unclear understanding of the true potential causative procarcinogenic 690 nature of the bacteria being studied, and overall reassuring safety profile of FMT meant that there 691 was no current clinical indication for routine metagenome screening for such bacteria or their genes 692 as part of donor screening. Further studies within this field should be undertaken and results 693 monitored. The Working Party noted that FMT for rCDI is often being used in an older and frail 694 population for whom the risk-to-benefit ratio of FMT is being considered over a fairly short period, i.e. 695 patients with limited alternate therapeutic options, with the aim of minimising further hospital 696 admissions. This ratio would be different in the context of younger patients, where FMT was used on

a more exploratory basis, and this may influence the importance of considering the potential futurerole for screening for such bacteria.

699 The Working Party also noted that a number of studies had proposed using stool metagenomics as a 700 tool to assess stool donors, and proposed a variety of ecological or taxonomy-based metrics to select out and stratify potentially 'ideal' donors.<sup>87</sup> Discussions within the Working Party concluded that while 701 702 this was of research interest, there was no justification for use of any assessment of this nature as part 703 of the donor screening/selection process at present. It was also observed that a small number of 704 studies had suggested a potential role for additional modalities of laboratory assessment as part of 705 donor screening; for instance, one study observed a trend towards increased gastrointestinal 706 symptoms post-FMT for rCDI after receipt of FMT from a donor with positive small intestinal bacterial 707 overgrowth, as assessed by positive lactulose breath test.<sup>88</sup> Again, the Working Party felt that while 708 this was of interest and supported future research, there was no current justification for this to be 709 incorporated into the donor screening process.

As per their discussions regarding the health questionnaire, the Working Party felt that it was beyond 710 711 the scope to mandate or exclude specific laboratory tests. Thus, the lists given in Boxes 2 and 3 reflect 712 suggested best practice but not compulsory testing. Laboratory-based testing and clinical 713 interpretation of results should be performed and agreed at a local level following a robust risk 714 assessment. Consistent with this, the Working Party noted the differences in laboratory donor 715 screening approaches that are reported in different regions globally. These are consistent with the 716 different prevalence and risk profile of different pathogens within each region.<sup>89</sup> As highlighted by the 717 case of COVID-19, the list of pathogens for which testing is undertaken needs to be constantly 718 reviewed, revised, and updated, based on local epidemiology and the latest evidence base. One area 719 that may require particular focus in this regard is the potential for emergence of new viral pathogens, 720 or rise in population prevalence of known viral pathogens with established faecal-oral transmission 721 e.g. poliovirus; the pertinence of this is highlighted by its detection within sewage water in London in 2022.<sup>90,91</sup> 722

723 The Working Party no longer supports the use of fresh FMT, because this approach does not allow for 724 direct testing of the donor stool used to manufacture FMT prior to administration and does not allow 725 for a period of quarantine in the case where additional donor testing may be required. Stool may be 726 processed into FMT immediately from donors who have passed baseline screening, but the Working 727 Party agreed that it should initially be quarantined. The Working Party also agreed that post-baseline 728 screening is required prior to release of FMT from quarantine to further mitigate the risk of pathogen 729 transmission. This post-baseline donor screening needs to take a safe but pragmatic approach, and 730 should cover two aspects:

- Bookend testing on donated stool to pick up acquisition of asymptomatic, transmissible
   enteric pathogens during the donation period. Again, exact framework should be defined by
   local policies and donation schedules, ideally following a robust risk assessment. It could
   include testing of pooled aliquots of donor stool used for manufacturing FMT. FMT could only
   be considered for release from quarantine once results have been demonstrated to be clear.
- Bookend assessment and/or testing of donor to identify risk factors for pathogen acquisition
   since baseline screening. The exact framework should be defined by local policies and
   donation schedules, ideally following a robust risk assessment. It could involve a donor
   questionnaire at each donation. FMT could only be considered for release from quarantine if

no specific risks were identified. FMT manufactured from donors identified as having acquired
risk factors during the donation period (such as unprotected sex with a new partner) would
need to undergo continued quarantine, and only be considered from release once the
appropriate repeat blood testing had been performed, and results were demonstrated to be
clear, ensuring that there had been a sufficient time period to allow for seroconversion.

745

# Recommendations

**3.1:** Use FMT from universal donors in preference to related donors.

**3.2:** All potential donors must be screened by questionnaire or personal interview to establish risk factors for transmissible diseases and for factors influencing the gut microbiota (Box 1).

**3.3:** Blood and stool of all donors must be tested for transmissible diseases to ensure FMT safety (Box 2 and 3).

**3.4:** Discuss and agree the content of donor health questionnaire and laboratory testing at a local level, following a robust risk assessment.

**3.5:** Undertake ongoing review, revision and updating of the list of pathogens for screening/testing based on local epidemiology and the latest evidence.

**3.6:** Blood and stool of all donors must be re-screened periodically to ensure FMT safety.

**3.7:** Health assessment which captures the donor's ongoing suitability must be completed at each stool donation.

**3.8:** Ensure that FMT manufactured from donors is quarantined pending post-baseline screening and test results.

## Good practice points

**GPP 3.1:** Follow suggested recommendations in Boxes 1-4 for conditions to be included in screening and health questionnaire.

746

#### Box 1: Recommended donor history questionnaire

Positive response to any of these questions may exclude further consideration regarding donation at that time, it may be appropriate to rescreen and consider for donation at a later time point based upon the particular scenario.

- Receipt of antimicrobials and/or other medications potentially associated with gut microbiome perturbation, to include (but not limited to) proton pump inhibitor, statin, immunosuppression, chemotherapy, within the past three months.
- Known prior exposure to HIV and/or viral hepatitis.
- Known previous or latent tuberculosis.
- Use of illicit drugs, any tattoo, body piercing, needlestick injury, blood transfusion, acupuncture (outside of licensed or approved UK facilities), all within the previous four months.
- New or multiple (more than one) sexual partners within the past three months.
- Sex with somebody diagnosed with HTLV-1 and -2\*.
- Previously living in areas with high prevalence of HTLV-1 and -2\*.
- Receipt of a live attenuated vaccine within the past six months.
- Cold sores, anal ulcers, anal sores, pruritus ani within the past three months.
- Underlying gastrointestinal conditions/symptoms (e.g. history of IBD, IBS, chronic diarrhoea, chronic constipation, coeliac disease, bowel resection or bariatric surgery).
- Acute diarrhoea/gastrointestinal symptoms within the past two weeks.
- Family history of any significant gastrointestinal conditions (e.g. family history of IBD, or colorectal cancer).
- History of atopy (e.g. asthma, eosinophilic disorders).
- Any systemic autoimmune conditions.
- Any metabolic conditions, including diabetes and obesity.
- Any neurological or psychiatric conditions.
- History of chronic pain syndromes, including chronic fatigue syndrome and fibromyalgia.
- History of any malignancy.
- History of receiving growth hormone, insulin from cows, or clotting factor concentrates, or known risk of prion disease.
- History of receiving an experimental medicine (including vaccines) within the past six months.
- History of travel to tropical countries within the past six months.

\*This question to be asked in centres where laboratory screening for HTLV-1 and -2 may be difficult; areas to focus on, but not limited to: Japan, the Caribbean, and South America.

#### Box 2: Recommended blood screening

#### Pathogen Screening:

- Hepatitis A IgM
- Hepatitis B (HBsAg And HBcAb)
- Hepatitis C antibody
- Hepatitis E IgM
- HIV -1 and -2 antibodies
- HTLV-1 and -2 antibodies
- Treponema pallidum antibodies (TPHA, VDRL)
- Epstein-Barr virus IgM and IgG\*
- Cytomegalovirus IgM and IgG\*
- Strongyloides stercoralis IgG
- Entamoeba histolytica serology
- Cysticercal serology.

#### **General/Metabolic Screening:**

- Full blood count with differential
- Creatinine and electrolytes
- Liver enzymes and liver function tests.
- C-reactive protein

\*EBV and CMV testing is recommended where there is the potential that the FMT prepared from that donor will be administered to immunosuppressed patients at risk of severe infection if exposed to CMV and EBV.

#### 749

#### Box 3: Recommended stool screening

- Clostridioides difficile tcdB (toxin B) by PCR\*
- Campylobacter, Salmonella and Shigella, preferably by PCR
- Shiga toxin-producing Escherichia coli by PCR
- Other enteropathogenic E. coli, including, but not limited to Enteropathogenic E. coli (EPEC), by PCR
- Multi-drug resistant bacteria, including but not limited to, carbapenemase-producing Enterobacterales (CPE), extended-spectrum beta-lactamases (ESBL), and vancomycin resistant Enterococci (VRE) \*\*.
- Stool ova, cysts and parasite analysis, including:
- Cryptosporidium and Giardia antigen or PCR
- Acid fast staining for Cyclospora, Isospora and Microsporidia.
- Norovirus and rotavirus PCR.
- SARS-CoV-2\*\*\*
- *H. pylori stool antigen\*\*\*\**

\*GDH screening for possible *C. difficile* is not required or recommended; where performed, a positive GDH would not be sufficient to exclude a donor on the grounds of "positive *C. difficile* status". \*\*Methicillin-resistant *Staphylococcus aureus* (MRSA) is primarily recognised as a skin rather than a gastrointestinal organism; therefore screening is not universally recommended.

\*\*\*Based upon current prevalence and laboratory expertise, a broader viral screen may be appropriate, ideally via multiplex panel, which may include e.g. sapovirus and poliovirus. \*\*\*\*Consider testing but not necessarily to exclude as a donor; may potentially wish to consider

informing any recipients of *H. pylori* stool antigen-positive material, especially if recipients do not have a background of/are not currently *H. pylori* stool antigen positive.

#### Box 4: Post-baseline bookend screening stool

- Clostridioides difficile tcdB (toxin B)
- Campylobacter, Salmonella and Shigella
- Shiga toxin-producing Escherichia coli
- Other enteropathogenic E. coli, including, but not limited to Enteropathogenic E. coli (EPEC)
- Microsporidia
- Norovirus and rotavirus PCR
- Cryptosporidium
- SARS-CoV-2
- Cyclospora
- 751 752

## 753 **4.4 Preparation-related factors influencing the outcome of FMT for patients with CDI**

754 The effectiveness of FMT is presumed to depend upon transferred commensal microbiota being able 755 to engraft and proliferate in the recipient's colon. Thus, preservation of viability of relevant bacteria during processing and storage is considered an important factor for FMT effectiveness. At the 756 757 moment, there is no standard approach to how donated stools are processed and stored, although it 758 has been suggested that variations in processing seem to have little influence on FMT effectiveness 759 for rCDI.<sup>92</sup> Due to the difficulties with donor recruitment, as well as an additional benefit of quarantine 760 of the donor stools, the desire is to keep FMT product for as long as possible. Longer storage is also helpful if an interruption of donor supply or manufacturing process occurs, an example of which was 761 762 observed during the recent pandemic. There is a need for studies to determine the time thresholds 763 and optimal conditions in which FMT products need to be processed and used. The determination of 764 appropriate storage temperatures is also important for cost-effectiveness and environmental considerations. Previous BSG/HIS guidelines<sup>3</sup> found mostly low-quality evidence in relation to stool 765 766 processing and storage. Based on standard practice, they recommended that stools should be 767 processed within six hours of defecation, stored at -80°C and used within six months of processing.

- 768 Fresh vs frozen stool
- *Effect on success rates:* There was moderate evidence which suggested that fresh and frozen stools
   are equally effective.<sup>18,20,26,27,28,68</sup>
- *Effect on adverse events:* There was weak evidence which suggested that this does not influence the
   effectiveness of FMT.<sup>28</sup>
- 773 Stool frozen at -20°C vs -80°C
- *Effect on success rates:* There was weak evidence which suggested that this does not influence the
   effectiveness of FMT.<sup>93</sup>
- 776 *Effect on adverse events:* There were no studies.
- 777 Lyophilised stool
- *Effect on success rates:* There was weak evidence which suggested that this does not influence the
   effectiveness of FMT.<sup>94-96</sup>
- *Effect on adverse events:* There was weak evidence which suggested FMT from lyophilised stools is
   safe.<sup>95</sup>

#### **782** *Type of capsule*

- *Effect on success rates:* There was weak evidence which suggested that this does not influence the
   effectiveness of FMT.<sup>97</sup>
- 785 *Effect on adverse events:* There were no studies.

#### 786 *Processing time*

- *Effect on success rates:* There was weak evidence which suggested that processing time for 150
   minutes or longer does not influence the effectiveness of FMT.<sup>22,98</sup>
- 789 *Effect on adverse events:* There were no studies.
- 790 Storage time
- 791 *Effect on success rates:* There was weak evidence which suggested that storing frozen products for
- 792 more than a year may not influence the effectiveness of FMT.<sup>22,93,98</sup>
- 793 *Effect on adverse events:* There were no studies.

## 794 Additional data from excluded studies:

#### 795 Anaerobic vs aerobic processing

- 796 Two studies<sup>92,99</sup> reported that processing the stool samples under anaerobic conditions helps to
- preserve microbial diversity<sup>92</sup> and viability.<sup>99</sup> On the other hand, one study<sup>100</sup> reported that oxygen-
- free atmosphere was not necessary as long as the air above collected samples was removed.

#### 799 Effect of freezing

- 800 Two studies<sup>92,101</sup> reported that freezing resulted in the loss of microbial diversity of the processed stool
- 801 samples. One study<sup>101</sup> reported that preparation in maltodextrin-trehalose solutions, storage at -80°C
- standard freezer and rapid thawing at 37°C, provided the best results for the samples to retain their
- 803 revivification potential. The same solution was also reported to be effective in preserving lyophilized
- 804 samples.<sup>100</sup>

#### 805 *Emulsion process*

- 806 One study<sup>102</sup> showed that magnet plate emulsion (MPE) and Seward Stomacher Emulsion (SSE) were 807 similar in terms of maintaining microbial load.
- 808 The Working Party concluded that there is currently no evidence to suggest that any preparation 809 factors in particular have an effect on the effectiveness or the incidence and severity of adverse events 810 of FMT for CDI. The literature from the excluded studies suggests that anaerobic process and freezing the products has an effect on the viability of the microbiota, but there still seems to be an adequate 811 812 clinical effect regardless of these findings. In terms of efficacy, it is currently not known how long fresh 813 stools can be kept before they are processed and how long the FMT products can stored frozen. 814 However, the literature suggests that up to 180 minutes before processing starts and up to 12 months of storage time is acceptable. Due to a relatively low impact on effectiveness, the Working Party 815 816 suggested that other factors such as overall safety, cost-effectiveness, convenience and environmental 817 concerns should be considered when preparing and storing FMT products. It is preferred that the 818 products are stored frozen because this provides convenience and additional safety as the delay in 819 administration allows more time to withdraw faeces if a donor becomes ill or tests positive for a 820 transmissible pathogen. Current practice in the UK is to start the processing of the stools as soon as possible and no longer than within 150 minutes from the time of defecation to freezing. The Working 821 822 Party stated that there is no reason to challenge this practice. Either aerobic or anaerobic process is

acceptable, and in line with standard practice, cryoprotectant needs to be added. Additionally, the 823 Working Party reported that many centres in the UK and in mainland Europe have successfully used 824 older products and they concluded that the storage time of the frozen FMT products can be extended 825 from six to 12 months and that the temperature of the freezer can be reduced to -70°C to minimise the 826 827 environmental impact. It is currently not known whether the products could be stored at -20°C for up to 12 months. The Working Party expressed concerns that storage at this temperature could result in 828 829 the loss of bacterial count and therefore recommended that this practice should be avoided until there 830 is more evidence to support it. The decision whether and how stools should be encapsulated or 831 *lyophilised can be left to individual laboratories and will depend on the availability of the equipment.* 

832 The Working Party agreed to provide the advice in line of the recommendations from the previous edition of the guidelines,<sup>3</sup> which suggested, based on data from two systematic reviews, that 50g of 833 834 stool should be used for FMT. Previous edition of the guidelines also recommended that stools should 835 be mixed with 1:5 proportion to a dilutant. However, the Working Party also agreed that these should 836 be considered as arbitrary figures, not currently supported by the evidence. Thus, FMT processing 837 facilities may choose to adjust this volume and proportion depending on a clinical need and the 838 availability of the donor stools. While the bottom limit for the volume of the stool to be used has not yet been established, it has been acknowledged that some FMT centres use 30g of stools diluted to 1:6 839 840 ratio and this is still clinically effective.

#### Recommendations

**4.1:** Frozen FMT must be offered in preference to freshly processed products.

4.2: Start processing stools within 150 minutes of defecation.

**4.3:** Process stools aerobically or anaerobically – both methods are acceptable.

4.4: Store prepared FMT products frozen at -70°C for up to 12 months.

**4.5:** Add cryoprotectant such as glycerol for frozen FMT products.

**4.6:** If capsules are used, these can be obtained from frozen or lyophilised faecal slurry.

#### **Good practice points**

**GPP 4.1:** Follow a standard protocol for stool collection.

**GPP 4.2:** When possible, use at least 50g of stool in each FMT preparation.

**GPP 4.3:** Use sterile 0.9% saline as a diluent for FMT production.

**GPP 4.4:** Mix a minimum of 1:5 stool with diluent to make the initial faecal emulsion.

**GPP 4.5:** Consider homogenisation and filtration of FMT in a closed disposable system.

**GPP 4.6:** Consider thawing frozen FMT at ambient temperature and using it within six hours of thawing.

**GPP 4.7:** Avoid thawing FMT in warm water baths, due to the risks of cross contamination with *Pseudomonas* (and other contaminants) and reduced bacterial viability.

**GPP 4.8:** Where glycerol is used as a cryopreservative, ensure it is at 10-15% final concentration of the prepared faecal material/slurry, with vortexing or other methods used to fully mix the cryopreservative into the material.

841

# 4.5 Route of delivery and other administration factors influencing the outcome of FMT for patients with CDI

844 FMT can be delivered via upper and lower GI tract allowing it to reach different parts of the digestive tract. Different delivery routes may have different rates of success but are also associated with 845 846 different risk and adverse events and may therefore not be suitable for all patients. There are also 847 other factors to consider during FMT administration. It is still not clear whether taking certain 848 medications or undergoing bowel preparation shortly before FMT could influence its outcome. 849 Previous BSG/HIS guidelines<sup>3</sup> acknowledged that lower and upper GI administration have similar 850 success rates and adverse events and that both could be used if clinically appropriate. However, due 851 to the evidence suggesting lower efficacy associated with enema administration, this route of delivery 852 was only recommended when neither upper GI endoscopy, nor colonoscopy, would be considered appropriate. Additionally, at the time of publication, there was a paucity of evidence regarding 853 854 encapsulated FMT, thus no recommendations were made regarding its use. Regarding other factors, the evidence was low, but the guidelines suggested the use of bowel lavage and a single dose of 855 856 antimotility agent if FMT was to be delivered via lower GI route and the use of PPI and prokinetics 857 when FMT was via upper GI tract.

#### 858 Route of delivery

- 859 Colonoscopy vs other methods
- *Effect on success rates:* There was moderate evidence which suggested a benefit of colonoscopic route
   compared to other administration routes.<sup>18,20,24,25,37,38,94,103,104</sup>
- 862 *Effect on adverse events:* There was weak evidence which suggested colonoscopic delivery has no effect on adverse events.<sup>24,37,104</sup>

#### 864 Enema vs other methods

- *Effect on success rates:* There was inconsistent evidence but it suggested that enema may be less
   effective than other methods.<sup>25,105,106</sup>
- *Effect on adverse events:* There was very weak evidence which suggested that delivery via enema had
   no effect on adverse events when compared to other administration routes.<sup>41,106</sup>

## 869 Lower GI (unspecified) vs other methods

- *Effect on success rates:* There was very weak evidence which suggested no difference in effect when
   comparing lower GI administration to other methods.<sup>22,26,107</sup>
- 872 Effect on adverse events: There was very weak evidence which suggested that delivery via lower GI
- 873 route had no effect on adverse events when compared to other administration routes.<sup>107</sup>

#### 874 Upper GI vs other methods

- *Effect on success rates:* There was weak evidence which suggested no difference in effect when
   comparing upper GI administration to other methods.<sup>18,20,22,24-26,103,104,107</sup>
- *Effect on adverse events:* There was weak evidence which suggested that upper GI had no effect on
   adverse events when compared to other administration routes.<sup>24,103,104,107</sup>

#### 879 Oral capsules vs other methods

- *Effect on success rates:* There was weak evidence which suggested no difference in effect when
   comparing oral capsules to other delivery methods.<sup>20,25,37,94,103-107</sup>
- *Effect on adverse events:* There was weak evidence which suggested that oral capsules had no effect
   on adverse events when compared to other administration routes.<sup>37,42,43,103,104,107</sup>
- 884 Bidirectional (upper and lower GI simultaneously) vs other methods
- 885 *Effect on success rates:* There was very weak evidence which suggested a potential benefit when 886 comparing bidirectional method of FMT administration to other routes.<sup>103</sup>
- 887 *Effect on adverse events:* There was very weak evidence which suggested that bi-directional method
- 888 had no effect on adverse events when compared to other administration routes.<sup>103</sup>

#### 889 Other factors

- 890 *Location of delivery*
- 891 *Effect on success rates:* There was very weak evidence which suggested this did not influence the
- 892 effectiveness of FMT.<sup>38</sup>
- 893 *Effect on adverse events:* There were no studies.

#### 894 Volume of FMT infused

- 895 Effect on success rates: There was very weak evidence which suggested this did not influence the
- 896 effectiveness of FMT.<sup>25,38</sup>
- 897 *Effect on adverse events:* There were no studies.
- 898 PPI use
- 899 *Effect on success rates:* There was very weak evidence which suggested this did not influence the 900 effectiveness of FMT.<sup>20</sup>
- 901 *Effect on adverse events:* There were no studies.
- 902 Antimotility agents used
- 903 *Effect on success rates:* There was very weak evidence which suggested this did not influence the 904 effectiveness of FMT.<sup>20,38</sup>
- 905 *Effect on adverse events:* There were no studies.
- 906 Bowel lavage/prep used
- 907 Effect on success rates: There was very weak evidence which suggested that this increases the
- 908 effectiveness of FMT.<sup>20,21,38</sup>
- 909 *Effect on adverse events:* There were no studies.

910 The Working Party discussed the above evidence and concluded that most routes of administration are effective and where differences in effectiveness exist, they are subtle and not significant clinically. Thus, 911 912 any of these methods can be considered for FMT delivery. Based on the current evidence presented here and in section 4.1, there is some concern that enema may be the least effective route and, as 913 914 such, it is preferred that whenever possible this should be avoided. Enema could still be considered as a method of delivery when other options are not feasible. The Working Party observed that there was 915 916 no additional review regarding flexible sigmoidoscopy specifically; it was felt that given the nature of 917 this procedure, the efficacy of FMT via this route (and therefore recommendations pertaining to it) 918 would broadly be similar to colonoscopy, whilst recognising that colonoscopy allows more proximal 919 access to the colon and therefore a higher chance of material retention (and therefore potentially 920 success). For all routes of delivery, FMT appears to be equally safe, although there may be some 921 general risks associated with some delivery methods (e.g. endoscopy). Therefore, the Working Party 922 recommends that other factors, such as cost, patient preference, patient safety and environmental concerns should be taken into account when choosing the route of FMT delivery. As an example, when 923 available, oral capsules could be offered to avoid unnecessary endoscopy to reduce potential 924 unnecessary harm, cost, and environmental impact.<sup>109</sup> However, the Working Party also noted that the 925 926 methods of encapsulation and the administration of encapsulated FMT to patients differ considerably 927 between the centres and more research is currently needed to determine the most optimal regimen 928 for this route of FMT delivery.

There is currently very little evidence that the site of delivery (within the GI tract) is important for FMT 929 930 effectiveness, and the Working Party agreed that the only important factor to consider is that FMT 931 must be delivered to a part of the colon where it can be retained. The members agreed that bowel 932 lavage/preparation, which is currently recommended for lower and upper GI delivery, should continue 933 in the light of the evidence suggesting a potential benefit. While the quality of the evidence is low, the 934 Working Party concluded that there is no benefit associated with the administration of PPI or other 935 anti-secretory medications nor antimotility medication. Therefore PPI and other anti-secretary 936 medications are not necessary, and the Working Party advises against the use of antimotility agents 937 in line with general consensus that these may promote C. difficile toxin retention. Additionally, there 938 seems to be no effect associated with the volume of FMT used, although the Working Party 939 acknowledged that it is not the volume of the infusion but the amount and concentration of the stool 940 microbiota which is a determining factor and that the volume of faeces that needs to be infused will 941 also depend on other factors such as water and undigested food content, and the overall mass of the 942 stool. Future studies need to address the issue of a minimum effective dose that needs to be 943 administered for a successful FMT.

## Recommendations

**5.1:** Choose any route of FMT delivery but, if possible, avoid enema.

**5.2:** When choosing the route of delivery, consider patient preference and acceptability, cost, and the impact on environment.

**5.3:** Consider enema for patients in whom other FMT delivery methods are not feasible.

**5.4:** There is no need to administer proton pump inhibitors or other antisecretory agents as a preparation for FMT.

**5.5:** Do not use antimotility agents as a preparation for FMT.

**5.6:** Use bowel preparation/lavage as a preparation for FMT.

**5.7:** After upper gastrointestinal tract administration is used, remove the tube following the flushing with water.

**5.8:** For patients at risk of regurgitation or those with swallowing disorders, avoid administration via upper gastrointestinal tract and deliver FMT via lower gastrointestinal tract instead.

**5.9:** If colonoscopic administration is used, ensure that the FMT is delivered to a site that will permit its retention.

## Good practice points

**GPP 5.1:** Use polyethylene glycol preparation as a preferred solution for bowel lavage.

**GPP 5.2:** Consider using prokinetics (such as metoclopramide) prior to FMT via the upper gastrointestinal tract route

**GPP 5.3:** Follow best practice for prevention of further transmission of *C. difficile* when administering FMT to patients.

**GPP 5.4:** Consider a washout period of at least 24 hours between the last dose of antibiotic and treatment with FMT.

**GPP 5.5:** If upper gastrointestinal tract administration is used, nasogastric, nasoduodenal or nasojejunal tube, upper GI endoscopy or a permanent feeding tube may be used for delivery.

**GPP 5.6:** If upper gastrointestinal tract administration is used, administer no more than 100 mL of FMT to the gastrointestinal tract.

944

# 945 **4.6 Post-FMT factors influencing the outcome of FMT for patients with CDI**

The risk factors for failure after administration of FMT, especially associated with the use of 946 antimicrobial therapy, started to emerge at the time the first BSG/HIS guidelines<sup>3</sup> were about to be 947 948 published. The guidelines identified two studies which mentioned a potential link between the 949 administration of non-CDI antibiotics in a short time after the FMT was given, and subsequently 950 suggested that antimicrobial therapy should ideally not be administered within the first eight weeks, 951 and that an infectious disease specialist or a medical microbiologist should be consulted before the 952 therapy is given. Other potential factors (e.g. diet or the use of probiotics) have also been discussed 953 but their influence on FMT outcome remains unclear.

- 954 Use of non-CDI antibiotics
- 955 *Effect on success rates:* There was weak evidence which suggested a potential negative effect on the
- 956 effectiveness of FMT.<sup>18,21,22</sup>
- 957 *Effect on adverse events:* There were no studies.

#### 958 Other post-FMT factors

959 *Effect on success rates:* There was very weak evidence which suggested these do not influence the

- 960 effectiveness of FMT.<sup>14,21,22</sup>
- 961 *Effect on adverse events:* There were no studies.
- 962 The Working Party agreed that there is a concern, although evidence is weak, that post-FMT, non-CDI
- 963 antibiotics are a potential risk factor for FMT failure. As such, the Working Party recommended that
- 964 for patients who require antibiotics, either long-term or within eight weeks of FMT, decision needs a
- 965 formal assessment and a discussion with infectious disease specialists or microbiologists. Currently,
- 966 there is no reason to suspect that factors other than post-FMT antibiotics are risk factors for FMT
- 967 failure.

#### Recommendations

**6.1:** Wherever possible, avoid using non- *C. difficile* infection antimicrobials for at least eight weeks after FMT.

**6.2:** Consult infectious disease specialists or medical microbiologists for advice whenever FMT recipients have an indication for long term antibiotics or have an indication for non- *C. difficile* infection antibiotics within eight weeks of FMT.

#### Good practice points

**GPP 6.1:** Consider consultation with infectious disease specialists or medical microbiologists for advice whenever FMT recipients have an indication for long term antibiotics or have an indication for non- *C. difficile* infection antibiotics within 8 weeks of FMT.

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## 969 **4.7 Prophylactic FMT treatment to prevent** *C. difficile* infection

Prophylaxis has become one area of interest in CDI more broadly and FMT is proposed as a potential 970 971 therapy among other more traditional agents such as vancomycin, probiotics and bezlotoxumab.<sup>110</sup> Although no studies were identified, the recognition has grown that CDI pathogenesis relates to gut 972 973 microbiome disruption,<sup>111</sup> therefore, there is a biological rationale that restoration of gut microbiome 974 in vulnerable patients (e.g. patients with extensive exposure to antibiotics) via FMT could be a 975 reasonable strategy to prevent CDI. Current debate also focuses on the definition of prophylaxis, 976 specifically whether it should describe the prevention of recurrence or the prevention of new CDI in 977 patients at risk. Previous BSG/HIS guidelines did not address this topic and thus, no recommendations 978 were made.

979 No studies were found in the existing literature which assessed the effect of prophylactic treatment980 on any of the included outcomes.

#### 981 Additional data from excluded studies:

- 982 The working party are aware of one ongoing trial which aims to evaluate the effectiveness of FMT 983 (oral capsules) for the prevention of CDI in patients with history of CDI currently taking antibiotics.<sup>112</sup>
- 984 Due to the lack of existing evidence the Working Party agreed that no recommendation can be made
- 985 in favour or against prophylactic FMT. Instead, the Working party suggests that studies addressing this
- 986 issue should be undertaken in the future to establish its feasibility and cost effectiveness.

Recommendations	
7.1: No recommendation	
Good practice points	
GPP 7.1: none	

987

## 988 4.8 FMT for non-CDI indications

In current clinical practice, FMT is only recommended for the treatment of recurrent CDI. Due to its 989 990 success with CDI, FMT has been investigated for other diseases in which the gut microbiota has been implicated as a pathogenic agent. Previous BSG/HIS guidelines<sup>3</sup> reported that the majority of the 991 992 studies investigating the effectiveness of FMT for non-CDI indications were of poor design and quality, 993 and that only a small number of RCTs existed. The conditions which were reported in the previous 994 guidelines included ulcerative colitis, irritable bowel syndrome, hepatic encephalopathy and 995 metabolic syndrome, all of which showed a potential benefit. However the lack of evidence regarding 996 the choice of suitable patients and the most appropriate methods for FMT preparation and 997 administration, led the Working Party to a decision not to recommend FMT in the context other than 998 research. At the time the guidelines were published, it was also noted that there were ongoing trials 999 for other conditions. Since then more diseases have now been linked with gut microbiome and a large 1000 number of systematic reviews and meta-analyses investigating the effectiveness of FMT for these 1001 conditions have become available.

#### 1002 Ulcerative colitis

- 1003 *Effect on inducing remission:* There was moderate evidence which suggested FMT is effective in 1004 inducing remission in patients with UC.<sup>113-123</sup>
- 1005 *Effect on adverse events:* There was strong evidence which suggested that FMT does not have an effect 1006 on the adverse events in this group of patients.<sup>113-115</sup>
- Additional data from excluded studies: One study<sup>124</sup> reported that patients who received FMT and also
   followed an anti-inflammatory diet were more likely to achieve remission at eight weeks when
   compared to patients who received standard care.

#### 1010 Crohn's Disease

- 1011 *Effect on success rates:* There was weak evidence which suggested FMT is effective in inducing 1012 remission in patients with CD.<sup>126</sup>
- 1013 *Effect on adverse events:* There were no studies.

#### 1014 Pouchitis

1015 *Effect on success rates:* There was weak evidence which suggested that FMT has no effect on 1016 treatment of pouchitis.<sup>127,128</sup>

*Effect on adverse events:* There was weak evidence which suggested that FMT does not have an effect
 on the adverse events in this group of patients.<sup>127,128</sup>

#### 1019 Irritable Bowel Syndrome

- 1020 *Effect on success rates:* There was inconsistent evidence, and it was not possible to determine the 1021 effectiveness of FMT on achieving IBS remission.<sup>118,123,129-141</sup>
- *Effect on adverse events:* There was strong evidence which suggested that FMT does not have an effect
   on the adverse events in this group of patients.<sup>129-131</sup>
- *Effect on quality of life:* There was moderate evidence which suggested that IBS may improve quality
   of life for patients with IBS.<sup>129-131</sup>
- Additional data from excluded studies: One review<sup>137</sup> suggested that while FMT may not show an
   overall advantage, the delivery via upper GI (via duodenoscopy or nasojejunal tube) may be more
   effective than the delivery via other methods.

#### 1029 Constipation

- 1030 *Effect on success rates:* There was weak evidence which suggested FMT is effective in improving 1031 symptoms in patients with functional constipation.<sup>142</sup>
- 1032 *Effect on adverse events:* There were no studies.
- 1033 *Effect on quality of life:* There was weak evidence which suggested FMT may improve the quality of 1034 life in patients with constipation.<sup>142</sup>
- 1035 Preventing hepatic encephalopathy in patients with decompensated cirrhosis
- 1036 *Effect on success rates:* There was weak evidence which suggested FMT is effective in preventing 1037 hepatic encephalopathy.<sup>143,144</sup>
- 1038 *Effect on adverse events:* There was weak evidence which suggested a possible negative effect of FMT 1039 on adverse events in this patient group.<sup>143</sup>

#### 1040 Metabolic syndrome

- 1041 *Effect on success rates:* There was weak evidence which suggested that FMT had no effect on 1042 improving biomarkers of metabolic syndrome.<sup>145,146</sup>
- 1043 *Effect on adverse events:* There were no studies.
- 1044 *Additional data from excluded studies:* Four RCTs<sup>147-150</sup> reported no improvements in most of the 1045 markers associated with metabolic syndrome.

#### 1046 Obesity

- 1047 *Effect on success rates:* There was moderate evidence which suggested no effect on reducing BMI in obese patients.<sup>151</sup>
- 1049 *Effect on adverse events:* There were no studies.

## 1050 Other conditions

Literature searches were conducted for other conditions for which it was known that FMT was investigated as a potential treatment options. No studies which fit the inclusion criteria were identified for the following conditions: autism spectrum disorder, multidrug resistance, immune checkpoint inhibitor colitis and graft vs host disease.

The searches identified other conditions which were not searched for systematically but for which RCTs now exist. These included one study which reported that FMT may halt a progression of newonset type 1 diabetes mellitus,<sup>152</sup> one study which reported an increase in gut motility and some selfreported improvement in symptoms of Parkinson's disease,<sup>153</sup> one study which reported no effect on controlling peripheral psoriatic arthritis,<sup>154</sup> and one study which reported a reduced intestinal inflammation and an improvement in symptoms of progressive supranuclear palsy-Richardson's syndrome.<sup>155</sup>

## 1062 Data from excluded studies

## **1063** Infection/colonisation of gastrointestinal tract with multidrug resistant organisms

One RCT<sup>156</sup> reported no difference in decolonisation success when comparing patients who received FMT with antibiotics compared to patients who did not receive any treatment. A follow-up to this RCT<sup>157</sup> reported that the treatment with oral antibiotics temporary decreased the richness and diversity of gut microbiota but that after the administration of FMT, the proportion of *Enterobacteriaceae* decreased. One review<sup>158</sup> reported that decolonisation rates after FMT ranged from 20% to 90% for different types of microorganisms, but it reported that the spontaneous clearance was not considered in the studies.

## 1071 Alcoholic hepatitis

1072 One RCT<sup>159</sup> reported that, at 28 days and 90 days follow-up, patients who received FMT and antibiotics 1073 had higher rates of survival and that hepatic encephalopathy and ascites resolved in more patients in 1074 this group. Another RCT<sup>160</sup> reported that there was a lower rate of 90-day survival in patients who 1075 received prednisolone (34/60, 57%) when compared to those who received FMT (45/60, 75%, p =1076 0.044).

1077 The Working Party reviewed the above evidence and concluded that FMT cannot currently be 1078 recommended as a treatment of conditions other than CDI. The evidence indicates that patients with 1079 ulcerative colitis may benefit from FMT, however, at the moment, there is little information about the 1080 most effective protocols for the use of FMT in this condition and how its effectiveness and cost compare 1081 to other well-established treatment options. Most of the studies focused on the induction of remission 1082 in these patients but there is also a need for future studies to determine the role of FMT in maintaining 1083 remission. Some studies already identified that further FMT may be needed for achieving long-lasting effect.<sup>114,121,161-163</sup> The Working Party is in agreement with the recent consensus<sup>164</sup> of the experts who 1084 concluded that, at the moment, the studies are too small and methodologically heterogenous to 1085 1086 determine the effectiveness of FMT for IBD, including ulcerative colitis, and that the risk of serious side 1087 effects, including exacerbation of IBD, cannot be ignored. As such, the Working Party agreed that FMT may be offered to patients with ulcerative colitis who are not suitable for the licenced treatment 1088 1089 options or in whom these options have failed. There is also weak evidence which suggests that patients 1090 with other conditions, namely Crohn's disease, IBS and constipation may benefit from FMT, but more 1091 research is required before any clinical decisions are made. For other conditions, including metabolic syndrome, autism spectrum, pouchitis, preventing hepatic encephalopathy, obesity and the treatment 1092 1093 of multi-drug resistant microorganisms, further research is required to establish whether or not FMT

- is safe and effective. In the meantime, the Working Party agreed that FMT may be considered when
  the conventional treatment fails, and when the patients meet the eligibility criteria for compassionate
- 1096 use of FMT (described in the next section).

## Recommendations

**8.1:** Do not offer FMT routinely to patients with indications other than *C. difficile* infection.

**8.2:** Consider FMT on case by case basis for patients with ulcerative colitis in whom licenced treatment options have failed or for those who are not suitable for currently available treatments.

#### Good practice points GPP 8.1: none

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# 1098 **4.9 Compassionate use of FMT**

Since publication of the last iteration of the guidelines, the range of medical conditions with a potential 1099 1100 pathogenic link to a perturbed gut microbiome has continued to expand. Many of these conditions 1101 have no or limited treatment options. In many cases, the Working Party recognised that these 1102 remained associations, often without clear supporting mechanistic links that might deconvolute 1103 whether gut microbiome perturbation was a cause of the condition, consequence, or an 1104 epiphenomenon. A body of research has also explored whether FMT, alongside a conventional drug 1105 treatment, might augment the efficacy of that therapy, help to recover efficacy where this has been 1106 lost, or mitigate side effects of that medication. One prominent example of this scenario is cancer 1107 immunotherapy with immune checkpoint inhibitors (ICI), where early phase trial evidence suggests 1108 healthy donor FMT prior to anti-PD1 treatment for melanoma may boost efficacy in a subset of patients.<sup>165</sup> Further clinical trials demonstrated that FMT derived from anti-PD1 responders may be 1109 used to regain treatment response in certain melanoma patients who had become refractory to 1110 treatment.<sup>166,167</sup> 1111

1112 The Working Party discussed their clinical experience of considering potential suitability of FMT for 1113 patients with non-CDI medical conditions associated with perturbation of the gut microbiome. They 1114 felt that if all below three criteria were fulfilled, there were potential grounds for consideration of 1115 administration of FMT on a compassionate use basis.

- There was a reasonable case from published literature to support a contribution of the gut
   microbiome to pathogenesis of the condition, and at least some published data relating to
   safety and efficacy of FMT in either a pre-clinical or clinical setting for this condition.
- The patient had been unresponsive to/was not suitable for a range of conventional treatment options for their condition and had very limited treatment alternatives, which had already been utilised. The scenario in which this is envisaged is one in which the limited ability to provide further effective treatment of the condition may cause significant ongoing symptoms, significantly impair the patient's quality of life, and/or may risk progressive morbidity or even mortality for the patient.

- The patient understood the treatment options that were available, including the potential risks and benefits of FMT (especially the potential for no benefit and/or complications related to the FMT), but was still willing to provide informed consent for FMT.
- 1128 However, the Working Party emphasised that a few additional criteria merited consideration. Firstly, 1129 such cases should be considered in a multidisciplinary team (MDT) setting (including senior clinical 1130 representation from the specialist team referring the patient, and clinicians with experience in FMT, likely with a background in gastroenterology or microbiology/infectious diseases). The role of this MDT 1131 1132 is to better clarify any prior experience of FMT within this setting, and/or the balance of risks and 1133 benefits from FMT versus alternative treatment options. Secondly, there should be agreement as to 1134 what should be defined as success or failure of FMT in this particular scenario. There must also be a plan prior to treatment initiation, for a strategy regarding potential further FMT based upon the 1135 response to the initial therapy. Thirdly, there should be comprehensive documentation of clinical data 1136 1137 (and/or potentially stool and other biofluids collected from the patient for research, where such a 1138 resource exists) related to the outcome of this patient from FMT, to build knowledge and experience 1139 of the potential role for FMT within novel settings.

## Recommendations

**9.1:** Consider offering compassionate use of FMT in non- *C. difficile* infection settings after discussion and approval in a multidisciplinary team setting.

9.2: When offering compassionate use of FMT, the following conditions must be met:

- There is a biological rationale to justify consideration.
- Patient is at risk of significant clinical compromise due to a limited alternative range of therapeutic options.
- Patient understands the risks and benefits of FMT compared to other treatment options.

**9.3:** Prior to treatment, define what will be considered as a success or failure of FMT.

**9.4:** Prior to treatment, agree potential strategy for further FMTs based upon initial clinical success.

Good prac	tice <sub>l</sub>	poin	ts					
GPP 9.1: n	one							

#### 1140

# 1141 **4.10 Self-banking of stool for potential future autologous FMT**

1142 The Working Party members reported that, in the past, they have been contacted by other clinicians 1143 and by patients enquiring about banking their own stool with a view to potential future autologous 1144 FMT. One such scenario might be a patient who has been informed about the imminent need for 1145 medical treatment which might be expected to significantly disrupt their gut microbiome, i.e., a 1146 prolonged course of antibiotics that might risk CDI, or a patient due to undergo intestinal surgery, 1147 immunosuppression, etc.). The Working Party discussed the published literature regarding this 1148 approach, including clinical evidence that stool collected from patients prior to their haematopoietic 1149 cell transplantation (HCT) could safely be given as FMT to them post-HCT, with associated restoration

of pre-morbid microbiome diversity and composition.<sup>168</sup> A further enquiry that the Working Party had received related to whether a person in entirely good health could be considered for stool banking in case the scenario arose whereby autologous FMT might become an appropriate treatment option at some point in the future based upon changes of their health status. This conceptually might be considered to have a degree of comparability to cord blood banking, for which there is an HTAregulated structure in the UK.<sup>169</sup>

1156 The Working Party recognised some of the challenges related to this, which have already been 1157 discussed elsewhere.<sup>170</sup> Firstly, there are uncertainties related to how much stool might optimally be 1158 stored (with associated resource issues, such as freezer capacity), and for how long (raising concerns 1159 about the long-term stability of a gut microbiome community when potentially frozen for a prolonged 1160 period). Given that many conventional potential healthy stool donors fail screening due to the 1161 stringency of the process, there is a reasonable likelihood that a significant proportion of those 1162 considering self-stool banking would also fail conventional screening. While the fact that the patients 1163 would be receiving autologous FMT may reduce health risks compared to unrelated donor stool, there 1164 are clear issues related to laboratory processing and storage of material, particularly from a regulatory 1165 perspective, if this does not reach the same status on pathogen screening as healthy donor faecal material conventionally prepared into FMT. Other outstanding issues related to the regulatory 1166 1167 framework which might govern this process, and/or potential funding arrangements and cost effectiveness of such an approach. As such, the Working Party concluded that while self-stool banking 1168 1169 was of potential interest, it could not be currently advocated. However, this can be considered as a 1170 concept for further studies.

#### Recommendations

**10.1:** Do not routinely self-bank stool from faecal material donated by patients or healthy people for potential future autologous FMT.

## **Good practice points**

GPP 10.1: none

## 1171

# 1172 4.11 Regulation and oversight of FMT

1173 There is no agreed definition as to what constitutes FMT, nor its active pharmaceutical ingredient(s), 1174 not its mechanism of action. This leads to variability in how and what is classified as FMT, and how it 1175 should be regulated. Briefly, FMT is either a biological product (e.g. USA), human tissue product (e.g. 1176 Italy), medicinal product (e.g. UK), or medical procedure (e.g. Denmark).<sup>171</sup> In the UK, FMT is 1177 considered an unlicensed medicinal product that may be prepared, prescribed, and administered to patients on a named basis under section 10 of the Medicines Act, 1968<sup>172</sup> ("pharmacy exemption"), 1178 1179 provided that defined conditions are met. These include that the medicinal product is prepared or dispensed in a hospital or health centre by, or under the supervision of, a pharmacist, and in 1180 accordance with a doctor's prescription. This process is overseen by regional Specialist Pharmacy 1181 1182 Services (SPS) Quality Assurance (QA). If FMT is prepared as an unlicensed medicinal product and is to be shipped to another hospital or health centre for administration, this requires a license to supply 1183 unlicensed medicinal products ("specials").<sup>173</sup> Licensed facilities are regulated and audited by the 1184

- 1185 Medicines and Healthcare Products Regulatory Agency (MHRA). If FMT is used as part of a clinical trial,
- 1186 it is considered an Investigational Medicinal Product (IMP) and must be manufactured in a
- 1187 Manufacturer's/ Importation Authorisation MIA (IMP) licensed facility adhering to Good
- 1188 Manufacturing Practice (GMP).<sup>174</sup> Each batch should be released by a qualified person (QP) against an
- 1189 approved, trial specific, Investigational Medicinal Product Dossier (IMPD) prior to participant
- administration. Licensed facilities are regulated and audited by the MHRA, and all trials must have
   received Clinical Trials Authorisation (CTA), amongst other approvals, prior to participant recruitment.

# Recommendations

**11.1:** Centres that manufacture and dispense FMT must adhere to any regulations applicable to the area in which they are located.

# **Good practice points**

GPP 11.1: none

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# 1193 5. Further research

1194 As highlighted above, there are gaps in the evidence for almost every topic presented in these 1195 guidelines. While the list is not exhaustive, the Working Party made some recommendations for 1196 research which they thought represented current research priorities.

## Research recommendations

**RR 1:** Studies which investigate the effectiveness and cost effectiveness of FMT for a first episode of *C. difficile* infection.

**RR 2:** Studies which investigate potentially modifiable patient risk factors which, if corrected, can optimise the outcome of FMT, e.g. genetics, gut microbiota composition or functionality (e.g. via metabolomics), immunological status.

**RR 3:** Studies which investigate donor characteristics that determine the success or failure of FMT.

**RR 4:** Studies which investigate preparation and storage times beyond those currently recommended.

**RR 5:** Studies which investigate the highest temperature at which FMT preparations can be stored and for how long.

**RR 6:** Studies which investigate the optimal methods for capsule preparation.

**RR 7:** Studies which investigate the best regimen for administration of oral capsules (i.e. how many, over how many days etc.).

**RR 8:** Studies which investigate the clinical utility, feasibility and cost effectiveness of prophylactic FMT.

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<b>RR 9:</b> RCTs whic remission as we treatment optic	h establish the effectiven Il as the maintenance of r ms.	ess and cost-effectiveness emission of ulcerative coli	of FMT for induc tis compared to	ction of licenced
<b>RR 10:</b> Studies vulcerative colities	vhich compare different t 3.	ypes of FMT protocols for	the managemen	t of
<b>RR 11:</b> RCTs wh of constipation	ch investigate the effectiv using well-established, ob	veness and cost-effectiven vjective outcome measures	ess of FMT for tr s.	eatment
<b>RR 12:</b> Larger Romanagement of	CTs which establish the ef patients with Crohn's dis	fectiveness and cost-effectease.	tiveness of FMT	for the
<b>RR 13:</b> Studies v benefit from FM	vhich establish which sub <sub>i</sub> 1T.	groups of irritable bowel s	yndrome patient	s may
<b>RR 14:</b> RCTs wh management or spectrum, pouc microorganisms	ch establish the effective prevention of other conc hitis, hepatic encephalopa	ness and cost-effectivenes ditions, including metabolic athy and colonisation with	s of FMT for trea c syndrome, auti multi-drug resist	itment, sm tant
<b>RR 15:</b> Studies v self-bank stools	vhich evaluate the effection for potential future autol	veness, feasibility and cost ogous FMT.	-effectiveness of	utilising
<b>RR 16:</b> Studies w with low preval	vhich investigate whether ence in healthy individual	microbiological screening s is indeed/justified.	; of donors for pa	thogens
<b>RR17:</b> Avoid pro in a peer-review	ducing duplicate reviews red journal and there is no	, i.e. where the evidence h o new evidence to change	as recently been the conclusions.	reviewed
5. Further	considerations:	next-generation	FMT and	nove

1201 The Working Party discussed several microbiome therapeutics, which have evolved from FMT, and are 1202 at various stages of development and clinical trials. There are several different approaches being used, 1203 including full spectrum microbiome products (which have the most direct comparability with 1204 conventional FMT), as well as products involving particular microbiome components (e.g., spore-1205 based therapies, or defined microbial consortia). At the time of writing, two microbiome therapeutics 1206 have been approved by the US FDA for prevention of CDI relapses, namely RBX2660/Rebyota (Ferring; 1207 a rectally-administered FMT-type product), and SER-109/Vowst (Seres/Nestle; a purified spore-based 1208 product); no such products have been licensed for the use in any non-CDI indication.

1209 The Working Party discussed their expectation that several early and late phase clinical trials involving 1210 such products were ongoing globally, and there was a reasonable expectation of applications for 1211 licensing for use within the UK within the lifespan of this guideline. If such licensing was granted, there

- would be clear implications for use of 'conventional' FMT within the UK. For instance, licensing of a microbiome therapeutic for use in recurrent CDI would potentially negate the ability to supply FMT under a UK specials license, given that FMT is an unlicensed medicine. This may potentially also impact upon the ability to use FMT within a UK research setting, where there is currently highly-active clinical and translational research activity.
- The Working Party concluded that there was a clear need for ongoing dialogue between entities developing novel microbiome therapeutics, academic and hospital centres providing FMT, and regulators to ensure no interruption at any point in provision of therapy to eligible CDI patients, and that clinical and translational FMT/microbiome therapeutics research in this field in the UK remains globally competitive.
- 1222 The Working Party concluded that the following topics are now resolved and should not be included 1223 for an update in the future editions of the guidelines:
- Effectiveness of FMT for recurrent CDI vs anti-CDI antibiotics/placebo in general population.
   This topic can be revisited if new therapies, more effective than current antibiotic treatment,
   become available. Topics in relation to patients with different conditions and factors related
   to CDI infections (e.g. severity, first occurrence) should still be investigated.
- Non-modifiable recipient factors e.g. age. Current evidence suggests that these factors do not reduce the effectiveness of FMT to the point where recommendations would change. Future studies need to focus on identifying modifiable recipient and donor factors, optimising FMT administration and preventing CDI recurrence after FMT.

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1757	Disclaimer from the British Society of Gastroenterology: These BSG guidelines represent a consensus
1758	of best practice based on the available evidence at the time of preparation. They may not apply in all
1759	situations and should be interpreted in the light of specific clinical situations and resource availability.
1760	Further controlled clinical studies may be needed to clarify aspects of these statements, and revision
1761	may be necessary as new data appear. Clinical consideration may justify a course of action at variance
1762	to these recommendations, but we suggest that reasons for this are documented in the medical record.
1763	BSG auidelines are intended to be an educational device to provide information that may assist in
1764	providing care to patients. They are not rules and should not be construed as establishing a legal

## 1766 List of abbreviations

- 1767 BSG British Society of Gastroenterology
- 1768 CBA controlled before/after
- 1769 CDI Clostridioides difficile (C. diff) infection
- 1770 CI confidence interval
- 1771 CMV cytomegalovirus
- 1772 CPD continuing professional development
- 1773 CVD cardiovascular disease
- 1774 FMT faecal microbiota transplant(ation)
- 1775 GRADE Grading of Recommendations Assessment, Development and Evaluation
- 1776 HIS Healthcare Infection Society
- 1777 HR hazard ratio
- 1778 ITS interrupted time series
- 1779 NICE National Institute for Health and Care Excellence
- 1780 nRCT non-randomised controlled trial
- 1781 OR odds ratio
- 1782 PCR polymerase chain reaction
- 1783 PICO Population-Intervention-Comparison-Outcome
- 1784 PFO Population-Predictive Factor-Outcome
- 1785 RCT randomised controlled trial
- 1786 RR risk ratio
- 1787 SSI surgical site infection
- 1788 UBA uncontrolled before/after
- 1789 UK United Kingdom